

Twisted Self-Duality and $SO(2)$ Electric-Magnetic Duality for Gravity and Higher Spin Gauge Fields

Marc Henneaux

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MIAPP's topical workshop "Aspects of Higher Spin Theory"

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Following the work of Cremmer and Julia,

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Following the work of Cremmer and Julia,
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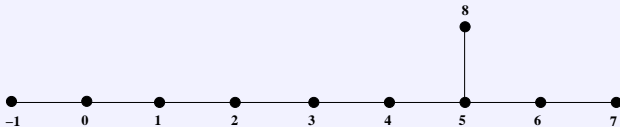
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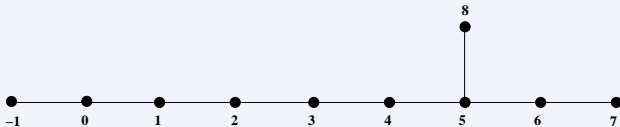
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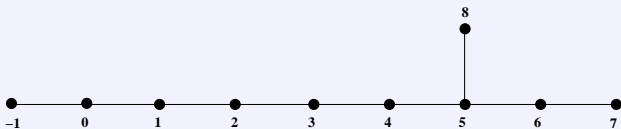
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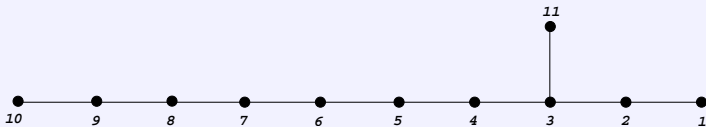
or E_{11}

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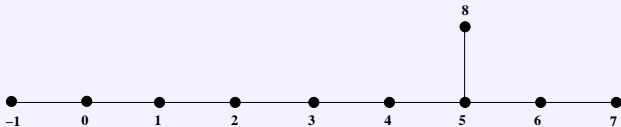
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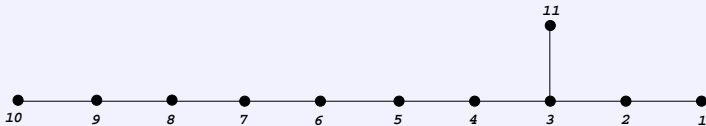
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Following the work of Cremmer and Julia,

it has been conjectured that the infinite-dimensional Kac-Moody algebra E_{10}



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might be a “hidden symmetry” of maximal supergravity or of an appropriate extension of it.

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Whenever a (dynamical) p -form gauge field appears in the spectrum of fields, its dual $D - p - 2$ -form gauge field also appears.

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Similarly, the graviton and its dual, described by a field with Young symmetry

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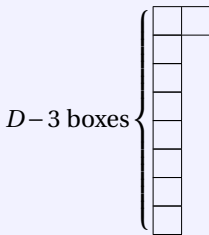
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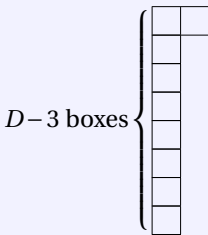
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simultaneously appear.

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Making progress in this field requires therefore a better understanding of higher-spin duality.

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The purpose of this talk is to discuss manifestly duality symmetric formulations of higher spin gauge theories,

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Making progress in this field requires therefore a better understanding of higher-spin duality.

The purpose of this talk is to discuss manifestly duality symmetric formulations of higher spin gauge theories, in which the fields and their duals are treated on a “democratic” footing.

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The purpose of this talk is to discuss manifestly duality symmetric formulations of higher spin gauge theories, in which the fields and their duals are treated on a “democratic” footing.

We will see that this necessitates introducing “prepotentials” which have the somewhat unexpected and intriguing gauge symmetries characteristic of higher spin conformal geometry.

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The purpose of this talk is to discuss manifestly duality symmetric formulations of higher spin gauge theories, in which the fields and their duals are treated on a “democratic” footing.

We will see that this necessitates introducing “prepotentials” which have the somewhat unexpected and intriguing gauge symmetries characteristic of higher spin conformal geometry.

Tools for handling higher spin conformal geometry will also be discussed.

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The Riemann tensor

$$R_{\lambda\mu\rho\sigma} = -\frac{1}{2} (\partial_\lambda \partial_\rho h_{\mu\sigma} - \partial_\mu \partial_\rho h_{\lambda\sigma} - \partial_\lambda \partial_\sigma h_{\mu\rho} + \partial_\mu \partial_\sigma h_{\lambda\rho})$$

fulfills the identity

$$R_{\lambda[\mu\rho\sigma]} = 0.$$

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The Einstein equations are $R_{\mu\nu} = 0$.

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fulfills the identity

$$R_{\lambda[\mu\rho\sigma]} = 0.$$

The Einstein equations are $R_{\mu\nu} = 0$.

This implies that the dual Riemann tensor

$$S_{\lambda\mu\rho\sigma} \equiv \frac{1}{2} \epsilon_{\lambda\mu\alpha\beta} R^{\alpha\beta}_{\rho\sigma}$$

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also fulfills

$$S_{\lambda[\mu\rho\sigma]} = 0, \quad S_{\mu\nu} = 0$$

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also fulfills

$$S_{\lambda[\mu\rho\sigma]} = 0, \quad S_{\mu\nu} = 0$$

and conversely.

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Because $S_{\lambda\mu\rho\sigma}$ fulfills the above identities, one can introduce the “dual graviton” $f_{\mu\nu}$ through

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$$S_{\lambda\mu\rho\sigma} = -\frac{1}{2} (\partial_\lambda \partial_\rho f_{\mu\sigma} - \partial_\mu \partial_\rho f_{\lambda\sigma} - \partial_\lambda \partial_\sigma f_{\mu\rho} + \partial_\mu \partial_\sigma f_{\lambda\rho})$$

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Conversely, if one considers two spin two fields $h_{\mu\nu}$ and $f_{\mu\nu}$,

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Conversely, if one considers two spin two fields $h_{\mu\nu}$ and $f_{\mu\nu}$,
the curvatures of which are dual to one another,

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Because $S_{\lambda\mu\rho\sigma}$ fulfills the above identities, one can introduce the “dual graviton” $f_{\mu\nu}$ through

$$S_{\lambda\mu\rho\sigma} = -\frac{1}{2} (\partial_\lambda \partial_\rho f_{\mu\sigma} - \partial_\mu \partial_\rho f_{\lambda\sigma} - \partial_\lambda \partial_\sigma f_{\mu\rho} + \partial_\mu \partial_\sigma f_{\lambda\rho})$$

Conversely, if one considers two spin two fields $h_{\mu\nu}$ and $f_{\mu\nu}$,
the curvatures of which are dual to one another,
then both $h_{\mu\nu}$ and $f_{\mu\nu}$ fulfill the Einstein equations.

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$$\mathcal{F} = \mathcal{I}^* \mathcal{F},$$

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This leads to the twisted self-duality formulation of the equations of motion,

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In this formulation, the graviton and its dual appear on an equal footing.

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The same twisted self-duality formulation can be given in higher dimensions

but then, the graviton and its dual are not of same Young symmetry types. [In 5D for instance, the dual graviton is described by a tensor of Young symmetry type



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The analysis can also be extended to higher spin fields $h_{\lambda_1 \lambda_2 \dots \lambda_s}$.

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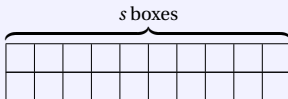
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The analysis can also be extended to higher spin fields $h_{\lambda_1 \lambda_2 \dots \lambda_s}$.
The “curvature” tensor $R_{\lambda_1 \mu_1 \lambda_2 \mu_2 \dots \lambda_s \mu_s}$ has Young symmetry type



and involves s derivatives of the field.

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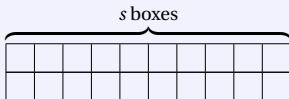
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and involves s derivatives of the field.

The key feature that allows the generalization is the result by X. Bekaert and N. Boulanger that states that the second-order Fronsdal equations (with “restricted” gauge invariance) are equivalent to the local equations “Ricci = 0” of order s (with “unrestricted” gauge invariance).

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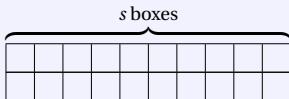
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One can then proceed exactly as for spin-2.

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One gets the same twisted self-duality formulation of the equations of motion in terms of the spin s -field and its dual,

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In this formulation, the spin- s field and its dual appear on an equal footing.

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In this formulation, the spin- s field and its dual appear on an equal footing.

The twisted self-duality conditions are highly redundant. In fact, the twisted self-duality conditions with spatial indices imply all the other ones. These equations contain at most one derivative with respect to time.

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The question analyzed here is : Can one derive the twisted self-duality conditions from a variational principle in which both the spin- s field and its dual are also treated on *exactly* the same footing (without doubling the number of degrees of freedom) ?

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The answer is yes, but the formulation has some non-usual features :

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in which both the spin- s field and its dual are also treated on *exactly* the same footing (without doubling the number of degrees of freedom) ?

The answer is yes, but the formulation has some non-usual features :

First-order action, lack of manifest spacetime covariance, need to introduce “prepotentials” (in the minimal formulation without auxiliary fields).

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We shall focus for definiteness on the $D = 4$ case and on gravity

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We shall focus for definiteness on the $D = 4$ case and on gravity
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We shall focus for definiteness on the $D = 4$ case and on gravity
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Exhibiting twisted self-duality proceeds in the same way
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We shall focus for definiteness on the $D = 4$ case and on gravity where the dual graviton has the same Young symmetry type as the graviton

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Exhibiting twisted self-duality proceeds in the same way independently of the spacetime dimension.

Because the graviton and its dual are tensors of the same type in $D = 4$, so are the corresponding curvature tensors.

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It follows that the Einstein equations are invariant under the duality rotations

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Duality invariance of the Einstein equations

It follows that the Einstein equations are invariant under the duality rotations

$$R \rightarrow \cos \alpha R - \sin \alpha {}^*R$$

$${}^*R \rightarrow \sin \alpha R + \cos \alpha {}^*R,$$

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or in (3 + 1)- fashion,

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$${}^*R \rightarrow \sin \alpha R + \cos \alpha {}^*R,$$

or in (3 + 1)- fashion,

$$\mathcal{E}^{ij} \rightarrow \cos \alpha \mathcal{E}^{ij} - \sin \alpha \mathcal{B}^{ij}$$

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Duality invariance of the Einstein equations

It follows that the Einstein equations are invariant under the duality rotations

$$R \rightarrow \cos \alpha R - \sin \alpha {}^*R$$

$${}^*R \rightarrow \sin \alpha R + \cos \alpha {}^*R,$$

or in (3 + 1)- fashion,

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where \mathcal{E}^{ij} and \mathcal{B}^{ij} are the electric and magnetic components of the Riemann tensor, respectively.

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where \mathcal{E}^{ij} and \mathcal{B}^{ij} are the electric and magnetic components of the Riemann tensor, respectively.

This transformation rotates the Schwarzschild mass into the Taub-NUT parameter N .

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It turns out that this is also a symmetry of the Pauli-Fierz action

$$S[h_{\mu\nu}] = -\frac{1}{4} \int d^4x [\partial^\rho h^{\mu\nu} \partial_\rho h_{\mu\nu} - 2\partial_\mu h^{\mu\nu} \partial_\rho h^\rho_\nu + 2\partial^\mu h \partial^\nu h_{\mu\nu} - \partial^\mu h \partial_\mu h].$$

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That this is true will come out automatically when we shall reformulate the theory

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That this is true will come out automatically when we shall reformulate the theory

in a manner appropriate to twisted self-duality.

The fastest way to get the action for twisted self-duality is to go to the first-order (Hamiltonian) action and solve the constraints.

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The dynamical variables of the Hamiltonian formalism are the components h_{ij} of the spatial metric and their conjugate momenta π^{ij} .

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The temporal components $h_{0\mu}$ are Lagrange multipliers for the constraints :

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$\mathcal{H} \equiv G[h] = 0$ ("Hamiltonian constraint"),

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The dynamical variables of the Hamiltonian formalism are the components h_{ij} of the spatial metric and their conjugate momenta π^{ij} .

The temporal components $h_{0\mu}$ are Lagrange multipliers for the constraints :

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which expresses that π^{ij} is transverse.

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The explicit resolution of the constraints introduces two “prepotentials”, one for the metric and one for its conjugate momentum.

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The explicit resolution of the constraints introduces two “prepotentials”, one for the metric and one for its conjugate momentum.

For instance, the momentum constraint $\partial_i \pi^{ij} = 0$ is solved by

$$\pi^{ij} = \epsilon^{ipq} \epsilon^{jrs} \partial_p \partial_r Z_{qs}^1.$$

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This is because any transverse symmetric tensor can be written as the Einstein tensor $G^{ij}[Z^1]$ for some symmetric prepotential Z_{ij}^1 (Young symmetry type $\square \square$).

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The prepotential Z_{ij}^1 has the gauge invariance

$$\delta Z_{ij}^1 = \partial_i \xi_j^1 + \partial_j \xi_i^1 + 2\epsilon^1 \delta_{ij}$$

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The prepotential Z_{ij}^1 has the gauge invariance

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... of a massless, conformal spin-2 field!

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Similarly, the solution of the Hamiltonian constraint for the metric h_{ij} leads to a second prepotential Z_{ij}^2 , which is also symmetric (Young symmetry type $\square\square$).

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Similarly, the solution of the Hamiltonian constraint for the metric h_{ij} leads to a second prepotential Z_{ij}^2 , which is also symmetric (Young symmetry type $\square\square$).

Indeed, the Hamiltonian constraint states that the Einstein tensor, which is transverse, should also be traceless $G^{ij}[h]\delta_{ij} = 0$.

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This implies that $G^{ij}[h]$ is equal to the Cotton tensor $D^{ij}[Z^2]$ of some symmetric field Z_{ij}^2 , which is the second prepotential.

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The Cotton tensor involves three derivatives of Z_{ij}^2 , is symmetric, transverse and traceless,

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This implies that $G^{ij}[h]$ is equal to the Cotton tensor $D^{ij}[Z^2]$ of some symmetric field Z_{ij}^2 , which is the second prepotential.

The Cotton tensor involves three derivatives of Z_{ij}^2 , is symmetric, transverse and traceless,

and also invariant under diffeomorphisms and Weyl rescalings

$$\delta Z_{ij}^2 = \partial_i \xi_j^2 + \partial_j \xi_i^2 + 2\epsilon^2 \delta_{ij}$$

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The dual graviton f_{ij} is related to the first prepotential Z_{ij}^1 in the same way as the graviton h_{ij} is related to the second prepotential Z_{ij}^2 .

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Duality conjugate and canonically conjugate are thus equivalent.

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This turns out to be the case.

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In terms of the prepotentials, the action takes the remarkable simple form

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Duality invariance of the Pauli-Fierz action

In terms of the prepotentials, the action takes the remarkable simple form

$$S[Z_{mn}^a] = \int dt \left[\frac{1}{2} \int d^3x \epsilon^{ab} D_a^{ij} \dot{Z}_{bij} - H \right]$$

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$$S[Z_{mn}^a] = \int dt \left[\frac{1}{2} \int d^3x \epsilon^{ab} D_a^{ij} \dot{Z}_{bij} - H \right]$$

where $D_a^{ij} \equiv D^{ij}[Z_a]$ is the Cotton tensor of the prepotential Z_{aij} ,

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$$H = \int d^3x \left(4R_{ij}^a R^{bij} - \frac{3}{2} R^a R^b \right) \delta_{ab}.$$

Here, R_{ij}^a is the Ricci tensor of the prepotential Z_{ij}^a .

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The action is duality-symmetric and manifestly invariant under duality rotations of the prepotentials.

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The action is duality-symmetric and manifestly invariant under duality rotations of the prepotentials.

Invariance under the gauge symmetries of the prepotentials is also immediate.

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The emergence of the gauge symmetries of conformal gravity

$$\delta Z_{ij}^a = \partial_i \xi_j^a + \partial_j \xi_i^a + 2\epsilon^a \delta_{ij}$$

is somewhat intriguing.

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The analysis can also be extended to higher spin s following the same lines.

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The analysis can also be extended to higher spin s following the same lines.

To that end, one needs the appropriate geometrical concepts associated with higher spin conformal geometry.

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The Cotton tensor $B^{i_1 \dots i_s}$ describes the higher spin conformal geometry in three dimensions, where the Weyl tensor identically vanishes.

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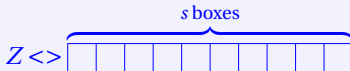
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We consider a spin- s gauge field $Z_{i_1 \dots i_s}$ in three dimensions,



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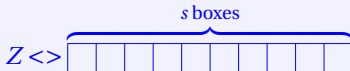
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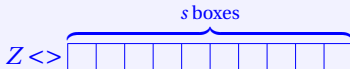
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$$\delta Z_{i_1 \dots i_s} = s \hat{\partial}_{(i_1} \xi_{i_2 \dots i_s)} + \frac{s(s-1)}{2} \delta_{(i_1 i_2} \lambda_{i_3 \dots i_s)}$$

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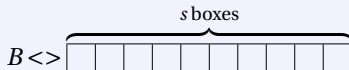
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The Cotton tensor $B^{i_1 \dots i_s}$ has the following properties :

It involves $2s - 1$ derivatives of the field Z and is completely symmetric,



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$$B \langle \rangle \overbrace{\boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{}}^{s \text{ boxes}}$$

It is invariant under both spin- s diffeomorphisms and spin- s Weyl transformations, $\delta B^{i_1 \dots i_s} = 0$.

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A necessary and sufficient condition for a spin- s field $Z_{i_1 \dots i_s}$ to be pure gauge is that its Cotton tensor vanishes,

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A necessary and sufficient condition for a spin- s field $Z_{i_1 \dots i_s}$ to be pure gauge is that its Cotton tensor vanishes,

$$Z_{i_1 \dots i_s} = s \partial_{(i_1} \zeta_{i_2 \dots i_s)} + \frac{s(s-1)}{2} \delta_{(i_1 i_2} \mu_{i_3 \dots i_s)} \iff B^{i_1 \dots i_s} = 0,$$

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and any function of the spin- s field that is gauge invariant under the above gauge symmetries is necessarily a function of the Cotton tensor and its derivatives.

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and any function of the spin- s field that is gauge invariant under the above gauge symmetries is necessarily a function of the Cotton tensor and its derivatives.

The Cotton tensor is also traceless and divergenceless,

$$\delta_{i_k i_m} B^{i_1 i_2 \dots i_s} = 0, \quad \partial_{i_p} B^{i_1 i_2 \dots i_s} = 0,$$

with $1 \leq k < m \leq s$ and $1 \leq p \leq s$.

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The Cotton tensor is also traceless and divergenceless,

$$\delta_{i_k i_m} B^{i_1 i_2 \dots i_s} = 0, \quad \partial_{i_p} B^{i_1 i_2 \dots i_s} = 0,$$

with $1 \leq k < m \leq s$ and $1 \leq p \leq s$.

Conversely, any symmetric tensor B that is both transverse and traceless can be written as the Cotton tensor of some symmetric tensor Z ,

$$Z \langle \rangle \overbrace{\boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{} \boxed{}}^{s \text{ boxes}}$$

$$B = B[Z].$$

From $d^2 = 0$ (spin 1) to $d^{s+1} = 0$ (spin s)

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From $d^2 = 0$ (spin 1) to $d^{s+1} = 0$ (spin s)

In order to derive these properties, it is convenient to introduce a differential operator d such that $d^{s+1} = 0$.

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In order to derive these properties, it is convenient to introduce a differential operator d such that $d^{s+1} = 0$.

This operator generalizes the standard exterior derivative operator to higher spins (Dubois-Violette+Henneaux 1999,2002).

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This operator generalizes the standard exterior derivative operator to higher spins (Dubois-Violette+Henneaux 1999,2002).

It acts in the complex of tensors of mixed Young symmetry type with k rows of length s and one last row of length $\leq s$. When the last row has also exactly length s , one says that the Young diagram is "well-filled".

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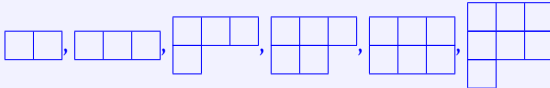
From $d^2 = 0$ (spin 1) to $d^{s+1} = 0$ (spin s)

In order to derive these properties, it is convenient to introduce a differential operator d such that $d^{s+1} = 0$.

This operator generalizes the standard exterior derivative operator to higher spins (Dubois-Violette+Henneaux 1999,2002).

It acts in the complex of tensors of mixed Young symmetry type with k rows of length s and one last row of length $\leq s$. When the last row has also exactly length s , one says that the Young diagram is "well-filled".

For instance, for $s = 3$, the tensors with Young symmetry type ,



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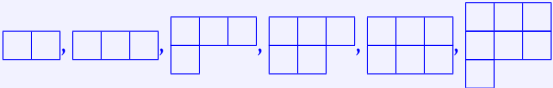
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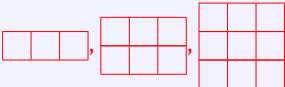
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The tensors  etc correspond to well-filled diagrams.

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If T is in the complex, dT has one more box and is obtained by projecting ∂T on the corresponding symmetry type.

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$$\bullet T \langle \rangle \square, dT \langle \rangle \square\square, (dT)_{\alpha_1\beta_1} \sim \partial_{(\alpha_1} T_{\beta_1)}$$

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One has $d^{s+1} = 0$ ($d^4 = 0$ for spin 3) because two partial derivatives operators will inevitably occur in the same column in $d^{s+1} T$.

For instance, $(d^4 f)_{\alpha_1\alpha_2\beta_1\gamma_1} = \partial_{[\alpha_2} \partial_{\alpha_1]} \partial_{\beta_1} \partial_{\gamma_1} f = 0$.

$d^{s+1} = 0$ – Generalized Poincaré lemma

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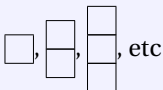
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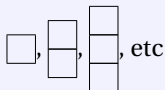
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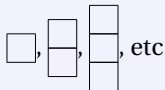
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One has similarly, for tensors described by well-filled diagrams, $d^k T = 0 \rightarrow T = d^{s+1-k} S$ for some S , with $0 < k \leq s$.

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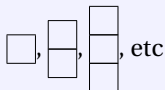
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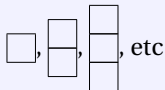
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Related construction by Olver, and Bekaert & Boulanger.

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To exhibit explicitly $SO(2)$ duality invariance for higher spins, one proceeds as for spin-2 and goes to the Hamiltonian formalism, which is also characterized by the presence of (first-class) constraints associated with the gauge symmetries.

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The solution of the constraint equations involves again two prepotentials, which are now symmetric tensors of rank s .

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$$\delta Z_{i_1 \dots i_s}^a = \partial_{(i_1} \xi_{i_2 \dots i_s)}^a + \delta_{(i_1 i_2} \lambda_{i_3 \dots i_s)}^a$$

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$$S[Z_{i_1 \dots i_s}^a] = \int dt \left[\frac{1}{2} \int d^3x \epsilon^{ab} B_a^{i_1 \dots i_s} \dot{Z}_{b i_1 \dots i_s} - H \right]$$

where $B_a^{i_1 \dots i_s}$ is the Cotton tensor of $Z_{i_1 \dots i_s}^a$.

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Henneaux-Hörtner-Leonard 2015, 2016

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Perhaps the most intriguing feature of our analysis is the emergence of spin- s Weyl gauge invariance for the “prepotentials”.

Starting from the ordinary spin- s Fronsdal Lagrangian, which exhibits no sign of higher spin conformal gauge symmetry, the resolution of the constraints of the Hamiltonian formalism brings in prepotentials that enjoy somewhat unexpectedly this symmetry.

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Perhaps the most intriguing feature of our analysis is the emergence of spin- s Weyl gauge invariance for the “prepotentials”.

Starting from the ordinary spin- s Fronsdal Lagrangian, which exhibits no sign of higher spin conformal gauge symmetry, the resolution of the constraints of the Hamiltonian formalism brings in prepotentials that enjoy somewhat unexpectedly this symmetry.

The ultimate reason for the emergence of local higher spin Weyl symmetry for the prepotentials remains to be understood...

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THANK YOU!