

$SU(3)_F$ in D decays to two pseudoscalars: branching ratios and CP asymmetries

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- 1 Methodology
- 2 Branching ratios
- 3 CP asymmetries
- 4 CP violation in tree-tree interference
- 5 Summary

Use the approximate $SU(3)_F$ symmetry of QCD: Owing to $m_{u,d,s} \ll \Lambda_{\text{QCD}}$ hadronic amplitudes are approximately invariant under unitary rotations of

$$\begin{pmatrix} u \\ d \\ s \end{pmatrix}.$$

\Rightarrow One can correlate various $D \rightarrow K\pi$ decays.

Example: In the limit of exact $SU(3)_F$ symmetry find

$$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-) = \mathcal{B}(D^0 \rightarrow K^+K^-).$$

Data show $\mathcal{O}(30\%)$ $SU(3)_F$ breaking in the decay amplitudes. It is possible to include $SU(3)_F$ breaking to first order (linear breaking) in the decomposition of the decay amplitudes in terms of $SU(3)_F$ representations.

I discuss hadronic two-body weak decays of D^+ , D^0 , D_s^+ mesons.

$$D^+ \sim c\bar{d}, \quad D^0 \sim c\bar{u}, \quad D_s^+ \sim c\bar{s},$$

Examples: $D^+ \rightarrow \bar{K}^0\pi^+$, $D^0 \rightarrow \pi^+\pi^-$, $D^+ \rightarrow K^0\pi^+$.

Decays are classified in terms of powers of the **Wolfenstein parameter**

$$\lambda \simeq |V_{us}| \simeq |V_{cd}| \simeq 0.22.$$

$$\text{Amplitude } A \propto \begin{cases} \lambda^0 & \text{Cabibbo-favoured} \\ \lambda^1 & \text{singly Cabibbo-suppressed} \\ \lambda^2 & \text{doubly Cabibbo-suppressed} \end{cases}$$

D, D^+, D_s^+ decays to two pseudoscalars

Goal: Get the most out of the measurements of the branching fractions of $D^0 \rightarrow K^+K^-$, $D^0 \rightarrow \pi^+\pi^-$, $D^0 \rightarrow K_S K_S$, $D^0 \rightarrow \pi^0\pi^0$, $D^+ \rightarrow \pi^0\pi^+$, $D^+ \rightarrow K_S K^+$, $D_s^+ \rightarrow K_S\pi^+$, $D_s^+ \rightarrow K^+\pi^0$, $D^0 \rightarrow K^-\pi^+$, $D^0 \rightarrow K_S\pi^0$, $D^0 \rightarrow K_L\pi^0$, $D^+ \rightarrow K_S\pi^+$, $D^+ \rightarrow K_L\pi^+$, $D_s^+ \rightarrow K_S K^+$, $D^0 \rightarrow K^+\pi^-$, $D^+ \rightarrow K^+\pi^0$, and the $K^+\pi^-$ strong phase difference $\delta_{K\pi} = 6.45^\circ \pm 10.65^\circ$ to predict branching fractions and CP asymmetries in these decays.

S. Müller, UN, St. Schacht, PRD92 (2015) 1, 014004, arXiv:1503.06759

S. Müller, UN, St. Schacht, PRL 115 (2015) 25, 251802, arXiv:1506.04121

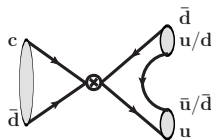
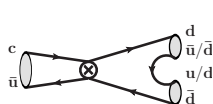
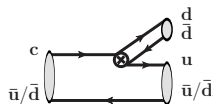
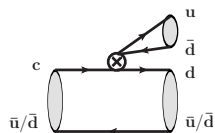
UN, St. Schacht, PRD 92 (2015) 5, 054036, arXiv:1508.00074

UN, St. Schacht, PRL 119 (2017) 25, 251801, arXiv:1708.03572

Topological amplitudes

Combine topological amplitudes (Chau 1980,1982; Zeppenfeld 1981) with linear $SU(3)_F$ breaking (Gronau 1995).

$SU(3)_F$ limit:



tree (T)

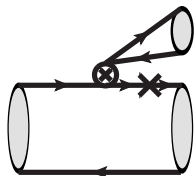
color-suppressed tree (C)

exchange (E)

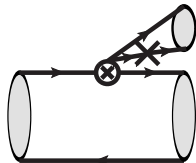
annihilation (A)

$SU(3)_F$ breaking

Feynman rule from $H_{SU(3)_F} = (m_s - m_d)\bar{s}s$: dot on s -quark line.
 Find 14 new topological amplitudes such as



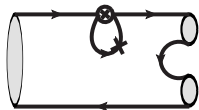
T_1



T_2

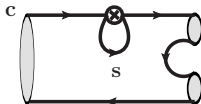
...

Important:

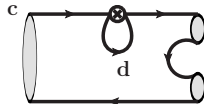


penguin (P_{break})

\equiv



$-$



Steps:

- i) Invoke colour counting to justify factorisation of tree and annihilation amplitudes à la

$$T = T^{\text{fac}} \left[1 + \mathcal{O} \left(\frac{1}{N_c^2} \right) \right]$$

with T^{fac} expressed in terms of decay constants and form factors.

- ii) Null hypothesis of a Frequentist analysis: Global fit to data permitting up to 50% $SU(3)_F$ -breaking and $\frac{1}{N_c^2}$ -corrections of up to 15%. \rightarrow find multi-dimensional valley with perfect $\chi^2 = 0$.
- iii) Perform likelihood tests for various hypothesis.
Race horse: *myFitter*, M. Wiebusch 2012

The individual branching ratios predicted from the global fit are **not** more precise than the current measurements.

Exception: $\mathcal{B}(D_s^+ \rightarrow K_L K^+)$, which was unmeasured in 2015 was predicted as

$$\mathcal{B}(D_s^+ \rightarrow K_L K^+) = 0.012_{-0.002}^{+0.007} \quad \text{at } 3\sigma$$

2022 PDG value:

$$\mathcal{B}(D_s^+ \rightarrow K_L K^+) = 0.0149 \pm 0.0006$$

However, the 2015 fit predicted non-trivial **correlations** among branching ratios.

Example:

$D^0 \rightarrow K_S \pi^0$ and $D^0 \rightarrow K_L \pi^0$ are superpositions of the Cabibbo-favoured amplitude $A(D^0 \rightarrow \bar{K}^0 \pi^0)$ and the doubly Cabibbo-suppressed amplitude $A(D^0 \rightarrow K^0 \pi^0)$.

\Rightarrow Their correlation probes $A(D^0 \rightarrow K^0 \pi^0)$.

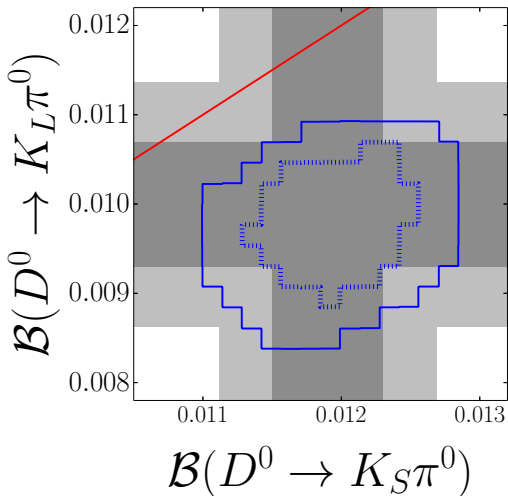
$SU(3)_F$ limit ($\lambda = 0.22$ is the Wolfenstein parameter):

$$\mathcal{B}(D^0 \rightarrow K_S \pi^0) \propto |E - C|^2 + 2\lambda^2 |E - C|^2$$

$$\mathcal{B}(D^0 \rightarrow K_L \pi^0) \propto |E - C|^2 - 2\lambda^2 |E - C|^2$$

Can $SU(3)_F$ breaking change the $SU(3)_F$ -limit prediction

$$\mathcal{B}(D^0 \rightarrow K_L \pi^0) < \mathcal{B}(D^0 \rightarrow K_S \pi^0)?$$



Gray:

68% CL and 95% CL measurements

Blue:

68% CL and 95% CL fit regions

Red line:

$\mathcal{B}(D^0 \rightarrow K_L \pi^0) = \mathcal{B}(D^0 \rightarrow K_S \pi^0)$

While $SU(3)_F$ breaking can be sizable, $\mathcal{B}(D^0 \rightarrow K_L \pi^0) < \mathcal{B}(D^0 \rightarrow K_S \pi^0)$ was predicted with a significance of more than 4σ .

2022 PDG data:

$$\mathcal{B}(D^0 \rightarrow K_S \pi^0) = (1.239 \pm 0.022) \cdot 10^{-2}$$

$$\mathcal{B}(D^0 \rightarrow K_L \pi^0) = (1.00 \pm 0.07) \cdot 10^{-2}$$

> 3σ evidence for $\mathcal{B}(D^0 \rightarrow K_S \pi^0) > \mathcal{B}(D^0 \rightarrow K_L \pi^0)$.

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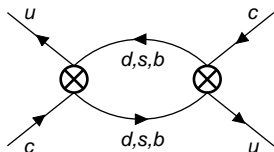
Every measurement hinting at some non-zero CP asymmetry was **successfully postdicted** offering interpretations both

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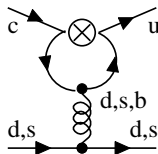
And we are not stubborn at all: After new measurements we eagerly change our opinions!

Detectable CP asymmetries stem from the interference of a tree diagram with a

box,

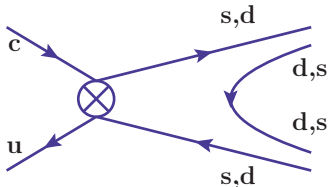


penguin,



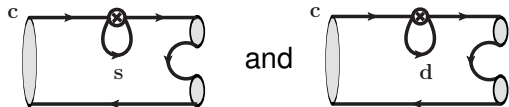
or tree-level diagram:

“(colour favoured) tree” (T),
 “colour suppressed tree” (C),
 or “exchange” (E).



Generic problem: **CP asymmetries** involve **new hadronic quantities** which are not constrained by branching fractions. E.g. new **SU(3)** representations or, in our analysis, new topological-amplitudes.

With



Penguins P_s and P_d
(and analogously defined P_b), the amplitude A_b entering CP asymmetries like $a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-, \pi^+ \pi^-)$ involves

$$P \equiv P_d + P_s - 2P_b.$$

Strategy: Build combinations out of **several CP asymmetries** containing only those topological amplitudes which can be extracted from the **global fit to the branching ratios**.

→ **sum rules** among CP asymmetries.

Our finding: Two sum rules each correlating **three** direct CP asymmetries in

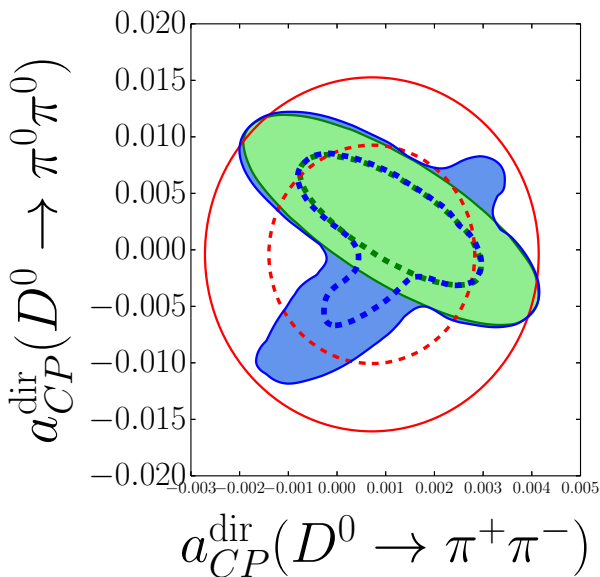
I $D^0 \rightarrow K^+K^-$, $D^0 \rightarrow \pi^+\pi^-$, and $D^0 \rightarrow \pi^0\pi^0$,

and

II $D^+ \rightarrow \bar{K}^0K^+$, $D_s^+ \rightarrow K^0\pi^+$, and $D_s^+ \rightarrow K^+\pi^0$.

Unfortunately: only works to **zeroth** order in **SU(3)_F breaking**.

Still: theoretical accuracy of **new-physics tests** only limited by the assumed size of **SU(3)_F breaking**; great progress compared to the $\mathcal{O}(1000\%)$ spread of past predictions.



Red solid:

95% CL measurement

Red dashed:

68% CL measurement

Present data:

Light blue:

95% CL from global fit

Dark blue dashed:

68% CL from global fit

Future scenario:

assume $\sqrt{50}$ better
branching ratios, but
 $a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-)$ as
today.

Light green:

95% CL from global fit

Dark green dashed:

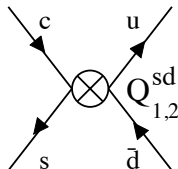
68% CL from global fit

Operators from **W exchange**, e.g.

$$Q_1^{sd} = \bar{s}_L^j \gamma_\mu c_L^k \bar{u}_L^k \gamma^\mu d_L^j$$

$$Q_2^{sd} = \bar{s}_L^j \gamma_\mu c_L^j \bar{u}_L^k \gamma^\mu d_L^k$$

with colour indices j, k .

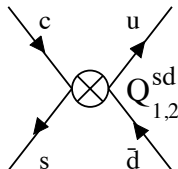


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Strip CKM factors off the amplitudes:

$$\mathcal{A}^{\text{CF}} \equiv V_{cs}^* V_{ud} A$$

$$\mathcal{A}^{\text{DCS}} \equiv V_{cd}^* V_{us} A.$$

In the **SCS** amplitudes three CKM structures appear:

$\lambda_d = V_{cd}^* V_{ud}$, $\lambda_s = V_{cs}^* V_{us}$, $\lambda_b = V_{cb}^* V_{ub}$ and CKM unitarity

$\lambda_d + \lambda_s + \lambda_b = 0$ is invoked to eliminate one of these.

Commonly used

$$A^{\text{SCS}} \equiv \lambda_{sd} A_{sd} - \frac{\lambda_b}{2} A_b$$

with

$$\lambda_{sd} = \frac{\lambda_s - \lambda_d}{2} \quad \text{and} \quad -\frac{\lambda_b}{2} = \frac{\lambda_s + \lambda_d}{2}$$

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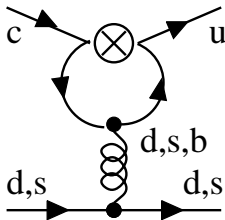
$$A^{\text{SCS}} \equiv \lambda_{sd} A_{sd} - \frac{\lambda_b}{2} A_b$$

with

$$\lambda_{sd} = \frac{\lambda_s - \lambda_d}{2} \quad \text{and} \quad -\frac{\lambda_b}{2} = \frac{\lambda_s + \lambda_d}{2}$$

In view of $|\lambda_b|/|\lambda_{sd}| \sim 10^{-3}$ only A_{sd} is relevant for branching ratios.

Penguin loop contributions to A_{sd} are GIM-suppressed (naively: $\propto (m_s^2 - m_d^2)/m_c^2$).



CP asymmetries of hadronic charm decays ...

- ... are proportional to $\text{Im} \frac{\lambda_b}{\lambda_{sd}} = -6 \cdot 10^{-4}$ in the Standard Model
- ... and probe **new physics** in flavour transitions of **up-type** quarks,
- ... are very difficult to predict in the **Standard Model**.

Observables (examples):

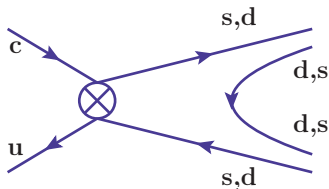
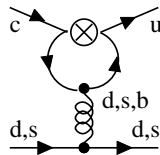
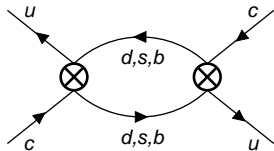
$$a_{CP}^{\text{mix}}(D^0(t) \rightarrow K^+ \pi^-)$$

$$a_{CP}^{\text{dir}}(D^0 \rightarrow \pi^+ \pi^-)$$

$$a_{CP}^{\text{dir}}(D^0 \rightarrow K^+ K^-)$$

$$a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)$$

$$a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S^{*0})$$



In all cases find:

$$a_{CP} \propto \frac{\lambda_b}{\lambda_{sd}} = -6 \cdot 10^{-4}$$

The prefactor multiplying $\frac{\lambda_b}{\lambda_{sd}}$ cannot be precisely calculated in all three cases.

Direct CP asymmetries in singly Cabibbo-suppressed decays:

With $\mathcal{A}^{\text{SCS}} = \mathcal{A}$ write

$$\mathcal{A} = \lambda_{sd} A_{sd} - \frac{\lambda_b}{2} A_b,$$

CP-conjugate decay: $\bar{\mathcal{A}} = -\lambda_{sd}^* A_{sd} + \frac{\lambda_b^*}{2} A_b.$

Find

$$\begin{aligned} a_{CP}^{\text{dir}} &\equiv \frac{|\mathcal{A}|^2 - |\bar{\mathcal{A}}|^2}{|\mathcal{A}|^2 + |\bar{\mathcal{A}}|^2} \\ &= \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b}{A_{sd}}. \end{aligned}$$

Branching ratios only fix $|A_{sd}| = |\mathcal{A}|/|\lambda_{sd}|.$

\Rightarrow still need $\text{Im} \frac{A_b}{A_{sd}}$ to predict $a_{CP}^{\text{dir}}.$

$$\begin{aligned} a_{CP}^{\text{dir}} &= \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b}{A_{sd}} \\ &= -6 \cdot 10^{-4} \underbrace{\text{Im} \frac{A_b}{A_{sd}}} \end{aligned}$$

can be $\mathcal{O}(10)$ in the SM,
if A_{sd} is suppressed.

Typical SM values of a_{CP}^{dir} are below 10^{-3} , thus identifying decays with large $\left| \frac{A_b}{A_{sd}} \right|$ is important. (The phase $\arg \frac{A_b}{A_{sd}}$ is unpredictable, so one must be lucky.)

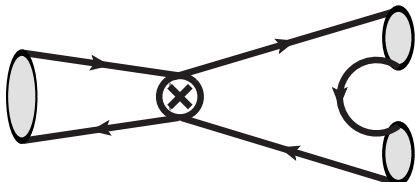
Whenever $c \rightarrow u\bar{d}d$ and $c \rightarrow u\bar{s}s$ interfere, the decay can have a non-vanishing direct CP asymmetry proportional to

$$\text{Im} \frac{V_{ud} V_{cd}^*}{V_{us} V_{cs}^*} = \text{Im} \frac{-V_{us} V_{cs}^* - V_{ub} V_{cb}^*}{V_{us} V_{cs}^*} = -\text{Im} \frac{V_{ub} V_{cb}^*}{V_{us} V_{cs}^*} \simeq -\text{Im} \frac{\lambda_b}{\lambda_{sd}} \simeq 6 \cdot 10^{-4}$$

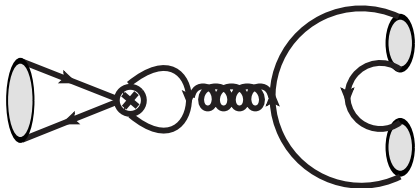
Tree-tree interference occurs for final states with $\eta^{(\prime)}, \omega \dots$ or with a pair of neutral Kaons like $K_S K_S, K_S K^{*0}, \dots$ or for multibody final states containing all four s, \bar{s}, d, \bar{d} quarks like $K^+ K^- \pi^+ \pi^-$.

A_{sd} is suppressed in $D^0 \rightarrow K_S K_S$.

$a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)$ receives contributions at tree level, from the (sizable!) exchange diagram:



exchange diagram



penguin annihilation diagram

Other CP asymmetry with tree-tree interference:

$$a_{CP}^{\text{dir}}(D^0 \rightarrow \bar{K}^{*0} K^0) \approx -a_{CP}^{\text{dir}}(D^0 \rightarrow K^{*0} \bar{K}^0)$$

Extracting E amplitudes from branching ratio data we find

$$|a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)| \leq 1.1\% \quad @95\% \text{ C.L.}$$

UN, St. Schacht, Phys.Rev.D92(2015) 054036

and

$$|a_{CP}^{\text{dir}}(D^0 \rightarrow \bar{K}^{*0} K_S)| \leq 0.003$$

UN, St. Schacht, Phys.Rev.Lett. 119 (2017) 251801

Precise predictions are not possible because we have no information on the strong phase $\arg(A_b/A_{sd})$.

UN, St. Schacht, Phys.Rev.Lett. 119 (2017) 251801

Two $D^0 \rightarrow KK^*$ decays:

$$D^0 \rightarrow \bar{K}^{*0} [\rightarrow K^- \pi^+] K^0$$

$$D^0 \rightarrow K^{*0} [\rightarrow K^+ \pi^-] \bar{K}^0$$

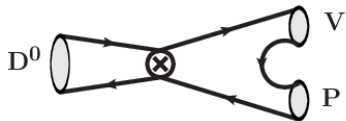
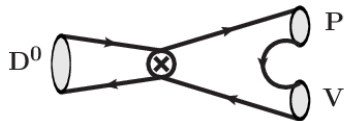
with the K^0 , \bar{K}^0 hadronising into K_S .

Write shortly:

$$\mathcal{A}(\bar{K}^{*0}) \equiv \mathcal{A}(D^0 \rightarrow \bar{K}^{*0} K^0)$$

$$\mathcal{A}(K^{*0}) \equiv \mathcal{A}(D^0 \rightarrow K^{*0} \bar{K}^0).$$

Each diagram comes in two variants, e.g.

 E_P  E_V

Topological amplitudes:

$$\mathcal{A}_{sd}(K^{*0}) = E_P - E_V + E_{P3} - E_{V1} - E_{V2} - PA_{PV}^{\text{break}}$$

$$\begin{aligned} \mathcal{A}_b(K^{*0}) &= -E_P - E_V - E_{P3} - E_{V1} - E_{V2} - PA_{PV} \\ &= \mathcal{A}_{sd}(K^{*0}) - 2E_P - 2E_{P3} - PA_{PV} + PA_{PV}^{\text{break}}, \end{aligned}$$

$$\mathcal{A}_{sd}(\bar{K}^{*0}) = -E_P + E_V - E_{P1} - E_{P2} + E_{V3} - PA_{PV}^{\text{break}},$$

$$\begin{aligned} \mathcal{A}_b(\bar{K}^{*0}) &= -E_P - E_V - E_{P1} - E_{P2} - E_{V3} - PA_{PV} \\ &= \mathcal{A}_{sd}(\bar{K}^{*0}) - 2E_V - 2E_{V3} - PA_{PV} + PA_{PV}^{\text{break}}. \end{aligned}$$

$$\begin{aligned} \Rightarrow a_{CP}^{\text{dir}}(D^0 \rightarrow \bar{K}^{*0} K^0) &= \text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b(\bar{K}^{*0})}{A_{sd}(K^{*0})} \\ &\approx -\text{Im} \frac{\lambda_b}{\lambda_{sd}} \text{Im} \frac{A_b(K^{*0})}{A_{sd}(K^{*0})} = -a_{CP}^{\text{dir}}(D^0 \rightarrow K^{*0} \bar{K}^0) = a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow \bar{K}^{*0} K^0) \end{aligned}$$

$$D^0 \rightarrow KK^*$$

$a_{CP}^{\text{dir}}(D^0 \rightarrow \bar{K}^{*0} K^0) \approx a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow \bar{K}^{*0} K^0)$ means that no flavour tagging is needed:

$$a_{CP}^{\text{dir}}(\bar{D}^0 \rightarrow K_S K^{0*}) \approx a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K^{0*})$$

Using

$$\mathcal{B}^{\text{exp}}(D^0 \rightarrow K^{*0} K_S) = (1.1 \pm 0.2) \cdot 10^{-4},$$

$$\mathcal{B}^{\text{exp}}(D^0 \rightarrow \bar{K}^{*0} K_S) = (0.9 \pm 0.2) \cdot 10^{-4}.$$

from experiment to determine $|E_P - E_V| = (1.6 \pm 0.2) \cdot 10^{-6}$ we find

$$|a_{CP}^{\text{dir, untag}}| \lesssim 0.003.$$

The maximum corresponds to $\arg(E_V/E_P) = 0.14\pi$.

Another goodie: One can scan the $K^+\pi^-K_S$ Dalitz plot near the K^{*0} resonance for a favourable $\arg(E_V/E_P)$.

- Global fit of $D \rightarrow PP'$ branching ratios to **topological amplitudes** including linear **$SU(3)_F$ breaking** gives multiple degenerate best-fit solutions.

- In 2015 we predicted:

$$\begin{aligned} \mathcal{B}(D_s^+ \rightarrow K_L K^+) &= 0.012_{-0.002}^{+0.007} && \text{at } 3\sigma \\ \mathcal{B}(D^0 \rightarrow K_L \pi^0) &< \mathcal{B}(D^0 \rightarrow K_S \pi^0) && \text{at } 4\sigma \end{aligned}$$

- **CP asymmetries** involve **topological amplitudes** not constrained by the fit. These can be eliminated by forming judicious combinations of several **CP asymmetries** \rightarrow **sum rules**.
- The sum rules test the quality of **$SU(3)_F$** in penguin amplitudes and/or new physics.
- The small CKM factor $\text{Im} \frac{\lambda_b}{\lambda_{sd}} \simeq -6 \cdot 10^{-4}$ renders CP asymmetries in the charm sector sensitive to new physics.

- Next to discover: CP violation in tree-box interference (i.e. related to D mixing)?
- Next-to-next discover: CP violation in tree-tree interference: $a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)$ and $a_{CP}^{\text{dir}}(D^0 \rightarrow \bar{K}^{*0} K_S)$:
 - Within the Standard Model the direct CP asymmetry in the charm decay in $D^0 \rightarrow K_S K_S$ can be as large as 1.1%.
 $a_{CP}^{\text{dir}}(D^0 \rightarrow K_S K_S)$ is dominated by the exchange diagram, which involves no penguin loop.
 - The same is true for $D^0 \rightarrow K^{*0} K_S$, which moreover requires no tagging to measure a_{CP}^{dir} . $a_{CP}^{\text{dir, untag}}(D^0 \rightarrow K^{*0} K_S)$ can be as large as 0.3%.