

# *pd*: Theory

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# Outline

- 1 Introduction
- 2 Selected results for elastic  $pd$  scattering
- 3 The  $pd$  wave function
- 4  $pd$  correlation factor
- 5 Results
- 6 Conclusions

## Collaborators

- A. Kievsky & L.E. Marcucci - *INFN-Pisa & Pisa University, Pisa (Italy)*

# Introduction

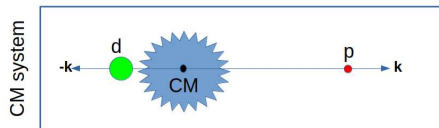
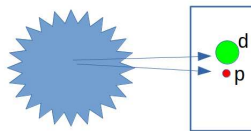
## Light nuclei formation in the ALICE experiment

### Coalescence model: two-step process

- Formation of nucleon and anti-nucleons in the high-energy collision
- Formation of light-nuclei following the interaction of nucleons close in phase-space
- [Butler & Pearson, 1963], [Schwarzschild & Zupancic, 1963]

### Thermodynamical models

- Direct creation of light-nuclei in the hot and dense fireball
- [Braun-Munzinger, Redlich & J. Stachel, 2004]



### $p - d$ system

- Question: where is formed the deuteron?
- Optimal to study this issue (three-nucleon dynamics well under control)

# Theoretical study of the $A = 3$ reactions

## Very accurate numerical techniques for $A = 3$ bound and scattering states

- Faddeev methods [Glockle *et al.*, *Phys. Rep.* **274**, 107 (1996)], and many others
- Expansion on a basis: HH [Kievsky, Marcucci, MV, *et al.*, 2008]
- CDCC method [Austern, Yahiro, & Kawai, 1986]

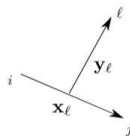
## Nuclear interactions

- 1990's: Realistic NN interactions (phenomenological) (AV18, Nijmegen, CD-Bonn)
  - fit of the NN database with  $\chi^2 \approx 1$
  - AV18 [Wiringa, Stoks, & Schiavilla, 1995]
  - +Urbana IX 3N force [Pudliner *et al.*, 1995]
- After 2000: chiral NN+3N interactions
- Expansion parameter  $Q/\Lambda_\chi$ ,  $Q \sim m_\pi$ ,  $\Lambda_\chi \approx 1$  GeV
- [Weinberg, 1990-1992], [Ordoñez, Ray, & Van Kolck, 1996], [Epelbaum, Hammer, & Meissner, 2009] for a review
- Pionless interactions [Kaplan, Savage, & Wise, 1998]

# pd calculation

## Definitions

- Permutations:  $ij\ell=123, 231, 312$
- Jacobi vectors
  - $\mathbf{x}_\ell = \mathbf{r}_j - \mathbf{r}_i$
  - $\mathbf{y}_\ell = \mathbf{r}_\ell - \frac{\mathbf{r}_j + \mathbf{r}_i}{2}$
- Hyperradius  $\rho = \sqrt{\mathbf{x}_\ell^2 + \frac{4}{3}\mathbf{y}_\ell^2}$



$$E = \frac{k^2}{2\mu} - B_d = \frac{Q^2}{m}, \mu = \frac{2}{3}m, \eta = \frac{\mu e^2}{k}$$

## Asymptotic components

$$\Omega_{LS}^F = \sqrt{\frac{1}{3}} \sum_{ij\ell} \left[ Y_L(\hat{\mathbf{y}}_\ell) \otimes [\phi_d(ij) \otimes \chi_\ell] s \right]_{JJ_z} \frac{F_L(\eta, ky_\ell)}{ky_\ell}$$

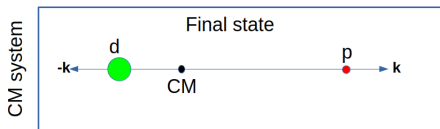
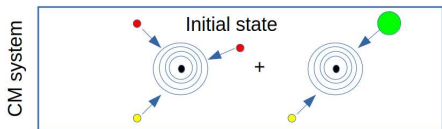
$$\Omega_{LS}^G = \sqrt{\frac{1}{3}} \sum_{ij\ell} \left[ Y_L(\hat{\mathbf{y}}_\ell) \otimes [\phi_d(ij) \otimes \chi_\ell] s \right]_{JJ_z} f_L(y_\ell) \frac{G_L(\eta, ky_\ell)}{ky_\ell}$$

$f_L(y_\ell) = (1 - e^{-\beta y_\ell})^{2L+1}$  used to regularize  $G_L$

# $pd$ wave function

$$\psi_{LSJj_z}^{(-)} = \sum_{[K]} \frac{u_{[K]}(\rho)}{\rho^2} \mathcal{Y}_{n,[K]} + \Omega_{LS}^F + \sum_{L'S'} \mathcal{T}_{LS,L'S'}^{(-),J} \left[ \Omega_{L'S'}^G - i\Omega_{L'S'}^F \right]$$

- $\mathcal{Y}_{n,[K]}$  antisymmetrical hyperspherical harmonic (HH) functions – essentially, homogeneous polynomials of degree  $K$
- They describe the configuration when the three particles are close + the ingoing three-particles amplitudes ( $u(\rho) \sim e^{-iQ\rho}$ )
- $\mathcal{T}_{LS,L'S'}^{(-),J}$  = T-matrix ( $G - iF \sim e^{-iky_\ell}$ )
- $u_{[K]}(\rho)$  and  $\mathcal{T}_{LS,L'S'}^{(-),J}$  computed using the Kohn variational principle
- For more details, see [Kievsky *et al.* JPG **35**, 063101 (2008)], [Marcucci *et al.*, FIP **8**, 69 (2020)]



# Asymptotic waves

## Asymptotic waves

Possible  $L, S$  values for a given  $J^\pi$  (using the spectroscopic notation  $^{2S+1}L_J$ )  
for a  $pd$  state  $S = \frac{1}{2}, \frac{3}{2}$

state	$p - d$
$\frac{1}{2}^+$	$^2S_{\frac{1}{2}}, ^4D_{\frac{1}{2}}$
$\frac{3}{2}^+$	$^2S_{\frac{3}{2}}, ^2D_{\frac{3}{2}}, ^4D_{\frac{3}{2}}$
$\frac{5}{2}^+$	$^2D_{\frac{5}{2}}, ^2G_{\frac{5}{2}}, ^4G_{\frac{5}{2}}$
$\frac{1}{2}^-$	$^2P_{\frac{1}{2}}, ^4P_{\frac{1}{2}}$
$\frac{3}{2}^-$	$^2P_{\frac{3}{2}}, ^4P_{\frac{3}{2}}, ^4F_{\frac{3}{2}}$
$\frac{5}{2}^-$	$^4P_{\frac{5}{2}}, ^2F_{\frac{5}{2}}, ^4F_{\frac{5}{2}}$

S-waves:  $\frac{1}{2}^+, \frac{3}{2}^+$

P-waves:  $\frac{1}{2}^-, \frac{3}{2}^-, \frac{5}{2}^-$

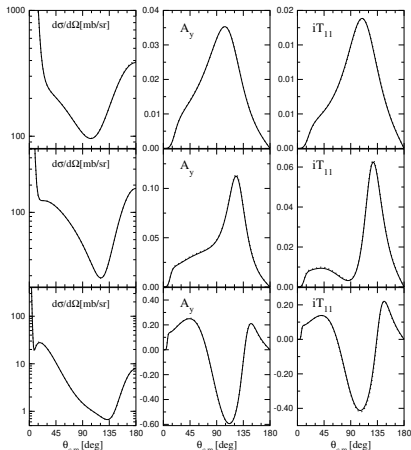
# Benchmark calculation - $pd$ scattering

Comparison with  
the Faddeev  
calculation by  
Deltuva [Deltuva  
*et al.*, 2005]

$E = 3$  MeV

$E = 10$  MeV

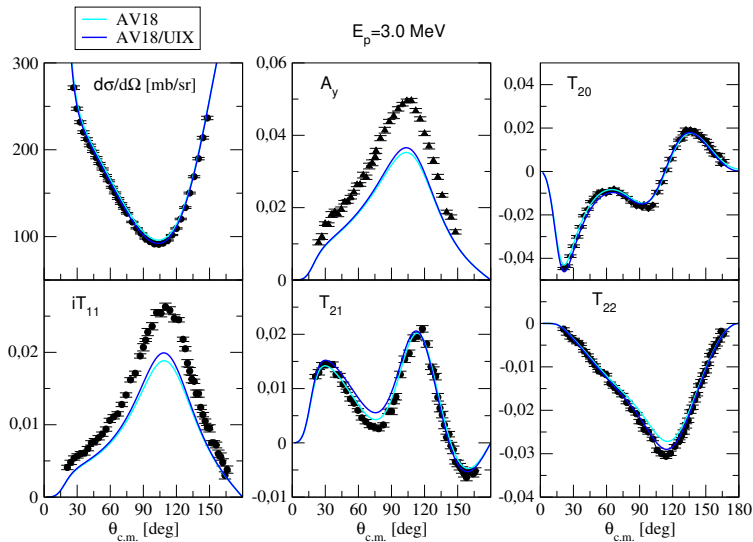
$E = 65$  MeV





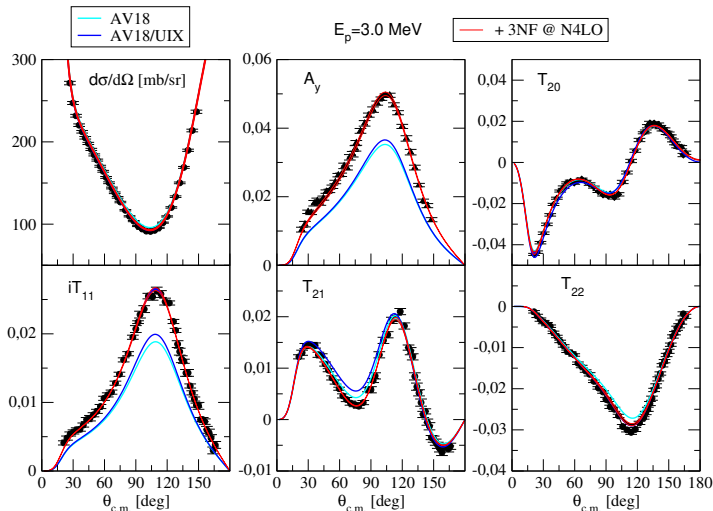
# $pd$ scattering at $E_p = 3.0$ MeV

$A_y$  and  $iT_{11}$  puzzle



# $pd$ scattering at $E_p = 3.0$ MeV

Inclusion of 3NF at N4LO [Girlanda, Kievsky, Marcucci, & MV, 2018], [Witala, Golak, & Skibiński, 2022]

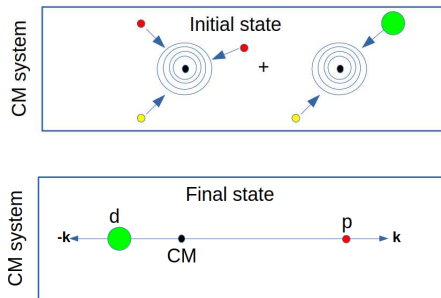


# The $pd$ wave function for the ALICE experiment

General idea:  $\Psi^{(-)} = pd$  plane wave + incoming  $pd$  +  $ppn$  spherical waves  
(with the distortion induced by Coulomb properly taken into account)

In a time-dependent formalism, this corresponds to a state

- For  $t \rightarrow -\infty$ : incoming  $pd$  +  $ppn$  spherical waves
- For  $t \rightarrow +\infty$ :  $pd$  clusters moving with relative impulse  $\mathbf{k}$



# The “free” $pd$ wave function

$$\Psi_{m_2, m_1}^{(free)} = \frac{1}{\sqrt{3}} \sum_{ij\ell} \phi_{m_2}(ij) \chi_{m_1}(\ell) \Phi_c(\mathbf{k}, \mathbf{y}_\ell)$$

$\Phi_c(\mathbf{k}, \mathbf{y}_\ell)$  Coulomb-distorted plane wave solution of

$$\left[ -\frac{1}{2\mu} \nabla^2 + \frac{e^2}{r} \right] \Phi_c(\mathbf{k}, \mathbf{y}_\ell) = E \Phi_c(\mathbf{k}, \mathbf{y}_\ell)$$

Using the spherical-wave expansion ( $\eta = \frac{\mu e^2}{k}$ )

$$\Phi_c(\mathbf{k}, \mathbf{y}_\ell) = \sum_{LM} 4\pi i^L Y_{LM}^*(\hat{k}) Y_{LM}(\hat{r}) e^{i\sigma_L} \frac{F_L(\eta, ky_\ell)}{ky_\ell}$$

and recoupling spin-angular  $\Psi_{m_2, m_1}^{(free)}$  can be written as (here  $\hat{k} = \hat{z}$ )

$$\Psi_{m_2, m_1}^{(free)} = \sum_{LSJ} \sqrt{4\pi} i^L \sqrt{2L+1} e^{i\sigma_L} (1m_2 \frac{1}{2} m_1 | SJ_z) (L0 SJ_z | JJ_z) \Omega_{LSJJ_z}^F$$

# The “full” $pd$ wave function

Just substitute  $\Omega_{LSJJ_z}^F$  with  $\Psi_{LSJJ_z}^{(-)}$  !

But the effect of the long-range Coulomb interaction may be important also for large  $L \dots$

So one should compute  $\Psi_{LSJJ_z}^{(-)}$  for an infinite number of  $LSJ$  values  $\dots$

For large values of  $L$  (i.e.  $J$ ) the effect of nuclear interaction is negligible so the substitution  $\Omega_{LSJJ_z}^F \rightarrow \Psi_{LSJJ_z}^{(-)}$  is adopted only up to  $J \leq \bar{J}$

$$\Psi_{LSJJ_z}^{(-)} = \Omega_{LSJJ_z}^F + \bar{\Psi}_{LSJJ_z}^{(-)} \quad J \leq \bar{J} \quad \Psi_{LSJJ_z}^{(-)} = \Omega_{LSJJ_z}^F \quad J > \bar{J}$$

The terms  $\Omega_{LSJJ_z}^F$  can be resummed up to  $L \rightarrow \infty$ , obtaining

$$\psi_{m_2, m_1}^{(full)} = \psi_{m_2, m_1}^{(free)} + \tilde{\psi}_{m_2, m_1}^{(full)}$$

$$\tilde{\psi}_{m_2, m_1}^{(full)} = \sum_{J=\frac{1}{2}}^{\bar{J}} \sum_{LS} \sqrt{4\pi} i^L \sqrt{2L+1} e^{i\sigma_L} (1 m_2 \frac{1}{2} m_1 | SJ_z)(L0SJ_z | JJ_z) \bar{\Psi}_{LSJJ_z}^{(-)}$$

# Calculation of the $pd$ correlation factor

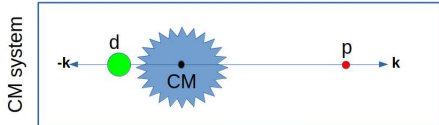
## Standard formula

For two-body scattering [Koonin, 1977], [Pratt, 1986]

$$C(k) = \int d^3r S_R(r) |\psi(\mathbf{r})|^2 \quad S_R(r) = \frac{1}{(2\pi R^2)^{\frac{3}{2}}} e^{-\left(\frac{r}{2R}\right)^2}$$

## $pd$ formula

- In the  $pd$  case, it “natural” to identify  $r \rightarrow y_\ell$
- How to treat the antisymmetrization?



After several discussions with Alejandro., Laura F., Bwawani and many others

$$C_{pd}(k) = \frac{1}{6} \sum_{m_2, m_1} \mathbf{3} \sum_{m'_2, m'_1} \int d^3y_3 S_R(y_3) |\psi_{m'_2, m'_1, m_2, m_1}(k, \mathbf{y}_3)|^2 \quad (1)$$

$$\psi_{m'_2, m'_1, m_2, m_1}(k, \mathbf{y}_3) = \int d^3x_3 \left[ \phi_{m'_2}(1, 2) \chi_{m'_1}(3) \right]^\dagger \Psi_{m_2, m_1}^{(full)}$$

$\phi(1, 2)$  = deuteron wave function,  $\chi(3)$  = spin state of the proton  
namely, we “project” the full wave function onto the deuteron wave function

Suggestions well accepted!!!

# Discussion (1)

Source  $S_R(y_3)$ , then

- 1 It is “natural” to apply the formula using an “effective”  $p - d$  relative wave function
- 2 The detector “naturally” project onto a  $p - d$  deuteron state
- 3 Mrówczyński's formulation [Mrówczyński, 2020] (neglecting for simplicity the spin-isospin d.o.f)

$$C_{pd}(k)A_2 = \int d^3r_1 d^3r_2 d^3r_3 S_{R_M}(r_1)S_{R_M}(r_2)S_{R_M}(r_3)|\Psi_{pd}|^2 \quad (*)$$

$A_2$  = “formation rate” of the deuteron, defined as ( $r = r_2 - r_1$ )

$$A_2 = \int d^3r_1 d^3r_2 S_{R_M}(r_1)S_{R_M}(r_2)|\phi_d(r)|^2 = \int d^3r S_{\sqrt{2}R_M}(r)|\phi_d(r)|^2$$

Mrówczyński assumes moreover  $\Psi_{pd} \equiv \phi_d(r)\psi_{pd}(y_3)$

Then, substituting in (\*), integrating over the 3body CM coordinate, one obtains

$$C_{pd}(k)A_2 = \int d^3r d^3y_3 S_{\sqrt{2}R_M}(r)S_{\sqrt{\frac{3}{2}}R_M}(y_3)|\phi_d(r)|^2|\psi_{pd}(y_3)|^2$$

Integrating over  $d^3r$ , the  $A_2$  term cancel out and we find again expression (1) with  $R = \sqrt{\frac{3}{2}}R_M$

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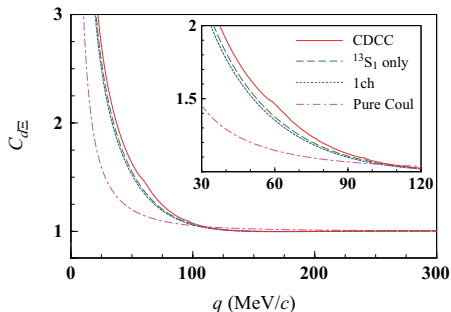
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# Discussion (2)

Calculation for  $d\Xi$  by [Ogata *et al.*, 2021]

- 1 CDCC calculation of  $\Psi_{d\Xi}(\mathbf{x}_3, \mathbf{y}_3)$  (here particle 3 is the  $K$ -meson)
- 2  $\Psi_{d\Xi}(\mathbf{x}_3, \mathbf{y}_3)$  projected over all  $np$  states (bound+scattering)



Effect of the scattering states rather small

# Discussion (3)

## Projection on the $pn$ scattering states

Schematically (spin-isospin d.o.f. neglected)  
 $\phi_\alpha =$  all the  $p - n$  states ( $\alpha = 0$  deuteron, etc)

$$C_{pd}(k) \sim \sum_{\alpha} \int d^3 y_3 S_R(y_3) |\langle \phi_\alpha(1, 2) | \Psi_{pd} \rangle|^2$$

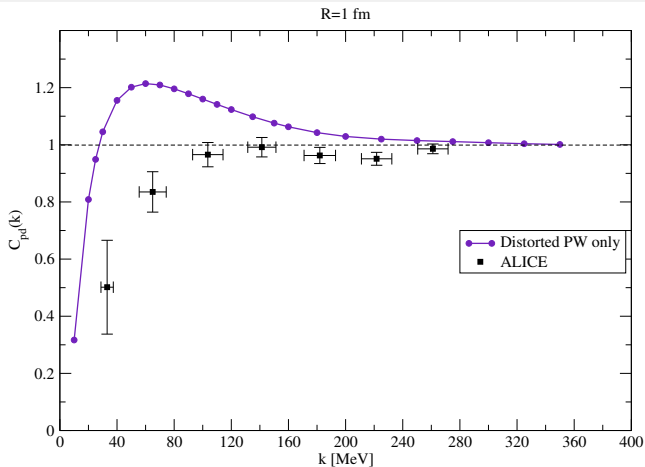
Using closure

$$C_{pd}(k) \sim \int d^3 y_3 S_R(y_3) |\Psi_{pd}|^2$$

$\Psi_{pd} \sim \phi_d(1, 2)e^{ik \cdot y_3} + \phi_d(2, 3)e^{ik \cdot y_1} + \phi_d(3, 1)e^{ik \cdot y_2}$   
it can be shown that for  $k \rightarrow \infty$ ,  $C_{pd}(k) \neq 1$

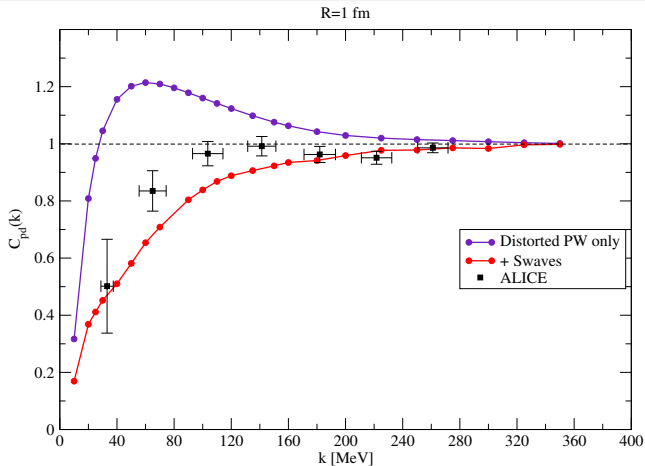
## Convergence

Inclusion of  $\Psi_{m_2, m_1}^{(free)}$  and the various components of  $\tilde{\Psi}_{m_2, m_1}^{(full)}$



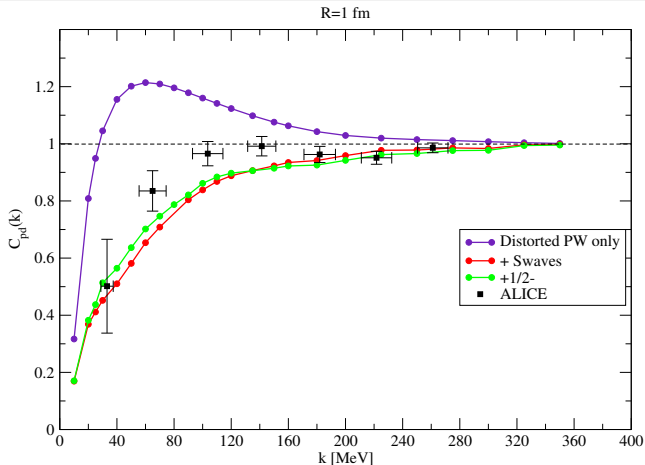
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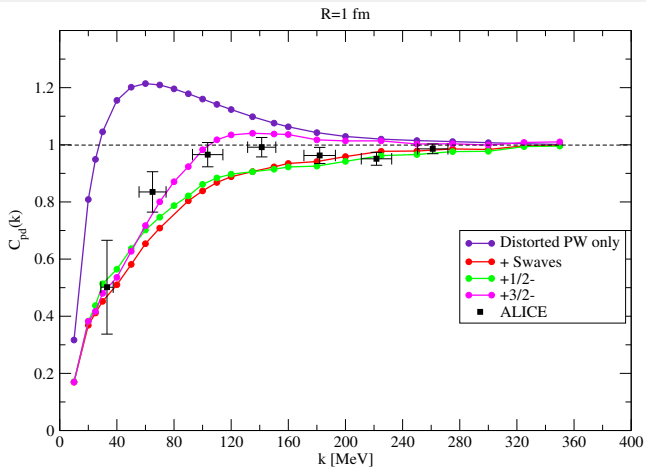
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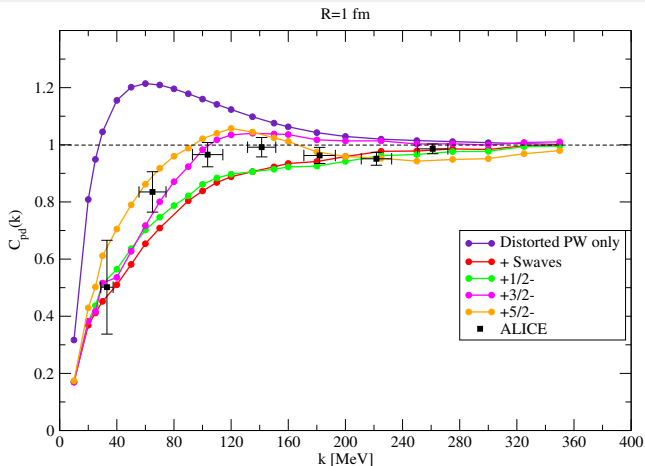
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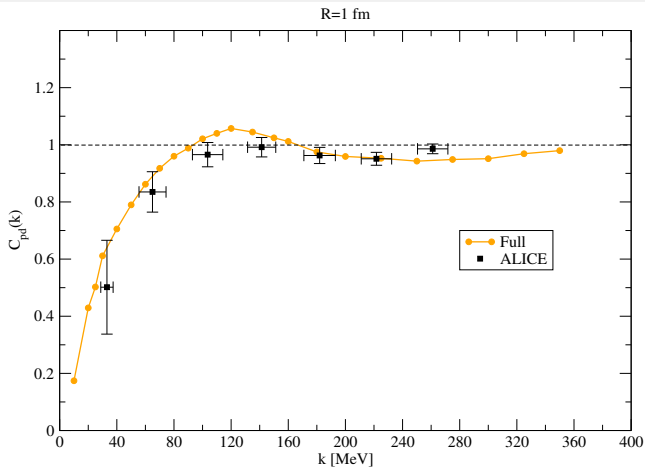
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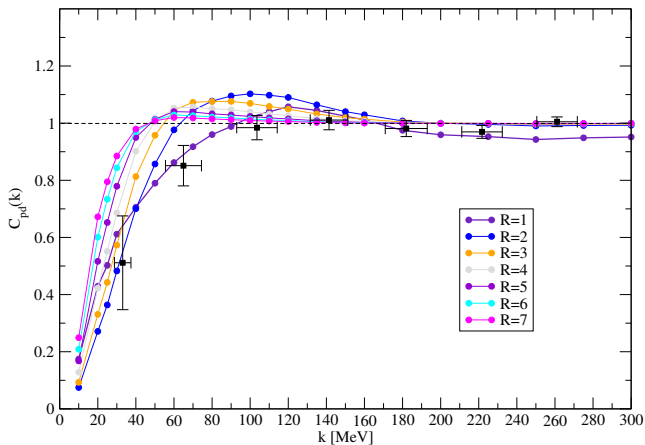


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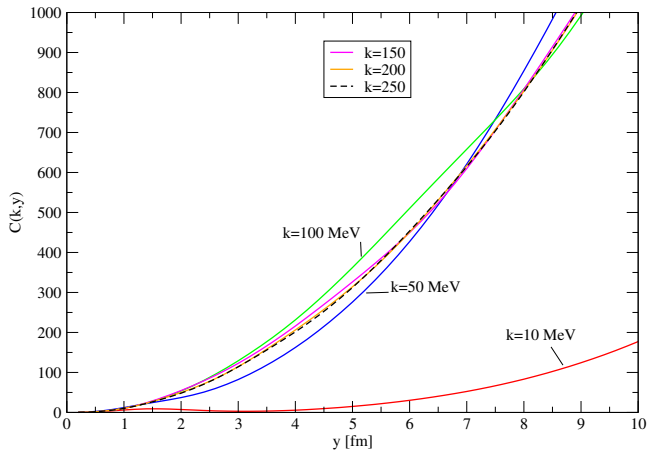


## Dependence on $R$



# Results (3)

Functions  $C_{pd}(k, y)$ :  $C_{pd}(k) = \int_0^\infty dy S_R(y) C_{pd}(k, y)$

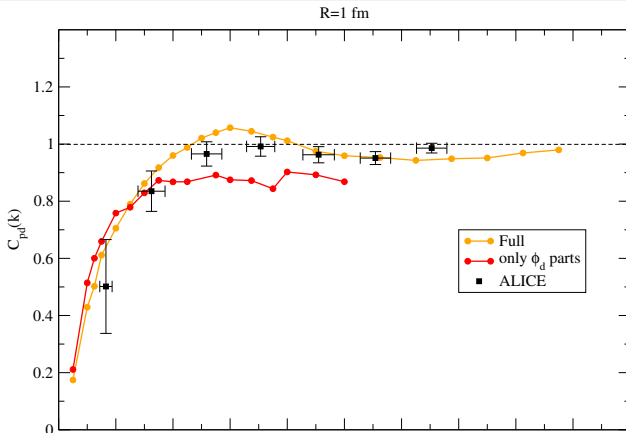


# Results (4)

## Importance of the deuteron component

Reduce the wave function to:

$$\psi_{LSJz}^{(-)} \rightarrow \Omega_{LS}^F + \sum_{L'S'} \mathcal{T}_{LS,L'S'}^{(-),J} [\Omega_{L'S'}^G - i\Omega_{L'S'}^F]$$



# Conclusions and perspectives

## Analysis of $pd$ correlation factor

- “projection” formula
- Contribution of P-waves very significant
- Good agreement between the theoretical and the experimental data for  $R \approx 1$  fm

## Perspectives

- Calculation with other interactions
- Comparison with the calculations by S. Koenig
- More tests:
  - switch on/off the “free” part
  - inclusion of more  $J$  states (increase  $\bar{J}$ )
- Applications for  $p - {}^3\text{He}$  and  $d - d$ :
  - $p - {}^3\text{He}$ : [Bazak & Mrówczyński, 2020]
  - $d - d$ : [Mrówczyński & Slon, 2021]
  - HH calculations for  $d - d$  and  $p - {}^3\text{He}$ : [MV *et al*, 2020 & 2022]
- Applications for  $ppp$  and  $pp\Lambda$ : See Alejandro's talk

Thank you for your attention!