



Effective Field Theories for Heavy Quarks

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L2: Heavy-light systems, HQET



Heavy-light systems

$Q\bar{q}$ bound state , $m_Q \gg \Lambda_{\text{QCD}}$, $\alpha_s(m_Q) \ll 1$

- The heavy quark is essentially at rest in the rest frame of the heavy meson
- If the heavy meson moves with velocity v , $v^2 = 1$, the heavy quark will also move with velocity v up to fluctuations $\sim \Lambda_{\text{QCD}}/m_Q$, namely its momentum can be written as $p = m_Q v + k$, $k \sim \Lambda_{\text{QCD}} \ll m_Q$
- Naïvely, we can write $Q(x) \sim e^{-im_Q v x} p_+ h_v^{(Q)}(x)$,
 $p_{\pm} = (1 \pm \not{v})/2$ projects onto the particle (antiparticle) subspace, and $h_v^{(Q)}(x)$ contains the momentum fluctuations $k \sim \Lambda_{\text{QCD}}$

Heavy-light systems

If this is done for all heavy quarks in the heavy quark part of the QCD lagrangian

$$\sum_{Q=c,b} \mathcal{L}_Q \longrightarrow \sum_{Q=c,b} \mathcal{L}_{HQET}^{(Q)} = \sum_{Q=c,b} \bar{h}_v^{(Q)} i v \cdot D h_v^{(Q)}$$

• It enjoys an accidental $SU(2N_Q)$ spin-flavor symmetry

• $m_{b\bar{q}} - m_b \sim m_{c\bar{q}} - m_c$, $m_{bqq} - m_b \sim m_{cqq} - m_c$

$$H = (Ql) , \quad l = \bar{q}, qq \quad , \quad M_H = m_Q + \Lambda'_l + \mathcal{O}\left(\frac{1}{m_Q}\right)$$

• For each Q , we have spin doublets $(S, S + 1)$. $m_B \sim m_{B^*}$,
 $m_D \sim m_{D^*}$, $m_{\Sigma_c} \sim m_{\Sigma_c^*}$, $m_{\Sigma_b} \sim m_{\Sigma_b^*}$

$$M_{H^*} - M_H = \frac{\Lambda_l^2}{m_Q} + \mathcal{O}\left(\frac{1}{m_Q^2}\right)$$

Heavy-light systems

- How well does it work?

$$1 \underset{\text{Th}}{\simeq} \frac{M_{\Lambda_b} - M_B}{M_{\Lambda_c} - M_D} \underset{\text{Exp}}{=} 0.82 \quad , \quad 1 \underset{\text{Th}}{\simeq} \frac{M_{B^*}^2 - M_B^2}{M_{D^*}^2 - M_D^2} \underset{\text{Exp}}{=} 0.88$$

- One expects the naïve picture to be modified by
 - Power corrections: $\sim 1/m_Q$ suppressed terms

$$\Lambda_{\text{QCD}}/m_c \sim 0.3 \quad , \quad \Lambda_{\text{QCD}}/m_b \sim 0.08$$

- Radiative corrections: $\sim \alpha_s(m_Q)$ suppressed terms

$$\alpha_s(m_c) \sim 0.46 \quad , \quad \alpha_s(m_b) \sim 0.26$$

Power Corrections



Suppose A_μ contains small momenta ($\ll m_Q$) only

$$Q(x) = e^{-im_Q vx} p_+ h_v^{(Q)}(x) + H_v^{(Q)}(x)$$

- $p_+ H_v^{(Q)}(x)$ contains the momenta which are NOT in $e^{-im_Q vx} p_+ h_v^{(Q)}(x)$
- $p_- H_v^{(Q)}(x)$ contains all momenta
- $h_v^{(Q)}(x)$ contains small momenta ($\ll m_Q$) only



Power Corrections



$$\begin{aligned}
 \mathcal{L}_Q &= \left(\bar{h}_v^{(Q)} p_+ e^{im_Q vx} + \bar{H}_v^{(Q)} \right) (i\not{D} - m_Q) \left(e^{-im_Q vx} p_+ h_v^{(Q)} + H_v^{(Q)} \right) \\
 &= \bar{h}_v^{(Q)} i v \cdot D h_v^{(Q)} + \bar{h}_v^{(Q)} p_+ e^{im_Q vx} i\not{D} p_- H_v^{(Q)} \\
 &+ \bar{H}_v^{(Q)} p_- i\not{D} e^{-im_Q vx} p_+ h_v^{(Q)}(x) + \bar{H}_v^{(Q)} (i\not{D} - m_Q) H_v^{(Q)}
 \end{aligned}$$

- Momentum conservation:

- Only $p_- H_v^{(Q)}$ of momenta $\sim e^{-im_Q vx} p_+ h_v^{(Q)}$

- These modes do not couple to $p_+ H_v^{(Q)}$

- $iD_\mu e^{-im_Q vx} = e^{-im_Q vx} (iD_\mu + m_Q v_\mu)$

- $p_\pm \gamma_\mu p_\pm = \pm v_\mu p_\pm$

- $\not{v} p_\pm = \pm p_\pm$



Power Corrections

Integrating out $p_- H_v^{(Q)}$

$$\begin{aligned}
 &\rightarrow \bar{h}_v^{(Q)} i v \cdot D h_v^{(Q)} - \bar{h}_v^{(Q)} p_+ e^{i m_Q v x} i \not{D} p_- \frac{1}{-i v \cdot D - m_Q} p_- i \not{D} e^{-i m_Q v x} p_+ h_v^{(Q)} \\
 &= \bar{h}_v^{(Q)} i v \cdot D h_v^{(Q)} - \bar{h}_v^{(Q)} p_+ i \not{D} p_- \frac{1}{-i v \cdot D - 2 m_Q} p_- i \not{D} p_+ h_v^{(Q)} \\
 &= \bar{h}_v^{(Q)} i v \cdot D h_v^{(Q)} - \bar{h}_v^{(Q)} p_+ i \not{D} p_- \left(\sum_{n=0}^{\infty} \frac{(-i v \cdot D)^n}{(-2 m_Q)^{n+1}} \right) p_- i \not{D} p_+ h_v^{(Q)}
 \end{aligned}$$

- Up to local field redefinitions. Standard form: eliminate all $v \cdot D$ except for the leading term.
- Note that the $n = 0$ correction contains the leading order of the NRQCD lagrangian for the particle ($v = (1, \mathbf{0})$),
 $p_+ i \not{D} p_- i \not{D} p_+ = p_+ \left(\mathbf{D}^2 - \frac{1}{4} [\gamma^i, \gamma^j] [D_i, D_j] \right) p_+).$

Radiative Corrections

A_μ also contains large momenta ($\sim m_Q$) \rightarrow $\alpha_s(m_Q)$ corrections expected.

$$\mathcal{L}_Q = \sum_{n=0}^{\infty} \frac{c_n(m_Q, \mu) O_n(\mu)}{m_Q^n}$$

$$O_n(\mu) = \bar{h}_v^{(Q)} p_+ f_n(v_\mu, D_\mu, F_{\mu\nu}, \gamma_\mu) p_+ h_v^{(Q)}$$

$$c_n(m_Q, \mu) = c_n(\alpha_s(m_Q), \ln(m_Q/\mu))$$

- Formally Lorentz invariant if v_μ is transformed
- But v_μ is fixed and hence the constraints of actual Lorentz symmetry are not implemented (yet).

Power + Radiative Corrections

- For $v = (1, 0)$, we have $(B^k = -\epsilon^{kij} F_{ij}/2, E^k = F_{0k})$

$$\mathcal{L} = \bar{h}_v \left\{ iD^0 + c_2 \frac{\mathbf{D}^2}{2m} + c_4 \frac{\mathbf{D}^4}{8m^3} + c_F g \frac{\boldsymbol{\sigma} \cdot \mathbf{B}}{2m} + c_D g \frac{[\mathbf{D} \cdot \mathbf{E}]}{8m^2} \right. \\ \left. + ic_S g \frac{\boldsymbol{\sigma} \cdot (\mathbf{D} \times \mathbf{E} - \mathbf{E} \times \mathbf{D})}{8m^2} + \mathcal{O}(1/m^3) \right\} h_v,$$

- v arbitrary is recovered by $D^0 \rightarrow v \cdot D$, $\mathbf{D} \rightarrow D^\mu - v^\mu v \cdot D$ and $\boldsymbol{\sigma} \rightarrow ip_+ \gamma^\nu p_- - \gamma^\rho p_+ \epsilon_{\mu\nu\rho\sigma} v^\sigma / 2$

Matching

The $c_n(m_Q, \mu)$ are obtained by imposing that the Green functions (or matrix elements) of QCD and HQET coincide at a given order in $\alpha_s(m_Q)$, $p_+ Q(x) = Z_h^{1/2}(m_Q/\mu) e^{-im_Q v x} p_+ h_v^{(Q)}(x)$.

E.g.

$$\int d^4x e^{ipx} \langle T \{ p_+ Q(x) \bar{Q}(0) p_+ \} \rangle = Z_h(m_Q/\mu) \int d^4x e^{ikx} \langle T \{ h_v^{(Q)}(x) \bar{h}_v^{(Q)}(0) \} \rangle$$

$$p = m_Q v + k, \quad k \ll m_Q$$

- $c_n(m_Q, \mu)$ (and $Z_h(m_Q/\mu)$) contain contributions of QCD at the scale m_Q only
- The IR behavior is not important for the matching calculation, but must be treated in the same footing in QCD and HQET

Matching

- Early approaches: expand on k and introduce a finite gluon mass to regulate the IR
- Most efficient way: expand on k , and use DR to regulate both the UV and IR, and $\overline{\text{MS}}$ to subtract the $1/\epsilon$ poles (Manohar 1997)
- E.g. Consider a one-loop matching calculation
 - The QCD calculation will have the form

$$\left[\frac{A}{\epsilon_{UV}} + \frac{B}{\epsilon_{IR}} + (A + B) \log \frac{\mu}{m} + D \right]$$

A, B, D , polynomials in k^2

Matching

- E.g. Consider a one-loop matching calculation (Cont.)
 - The HQET loop calculation will give zero (only scaleless integrals, $1/\epsilon_{UV} = 1/\epsilon_{IR} = 1/\epsilon$)

$$A_{\text{eff}} \left(\frac{1}{\epsilon_{UV}} - \frac{1}{\epsilon_{IR}} \right)$$

- E.g.

$$\int \frac{d^d k}{(2\pi)^d} \frac{1}{k^4} = \int \frac{d^d k}{(2\pi)^d} \left[\frac{1}{k^2 (k^2 + m^2)} + \frac{m^2}{k^4 (k^2 + m^2)} \right] = \frac{1}{16\pi^2} \left[\frac{1}{\epsilon_{UV}} - \frac{1}{\epsilon_{IR}} \right] = 0$$

- The $1/\epsilon_{UV}$ are subtracted in the $\overline{\text{MS}}$ scheme both in QCD and HQET

Matching

- E.g. Consider a one-loop matching calculation (Cont.)

- Then the matching condition reads

$$\frac{B}{\epsilon_{IR}} + (A + B) \log \frac{\mu}{m} + D = -A_{\text{eff}} \frac{1}{\epsilon_{IR}} + c_n$$

- QCD and HQET have the same IR $\longrightarrow B = -A_{\text{eff}}$

$$(A + B) \log \frac{\mu}{m} + D = c_n$$

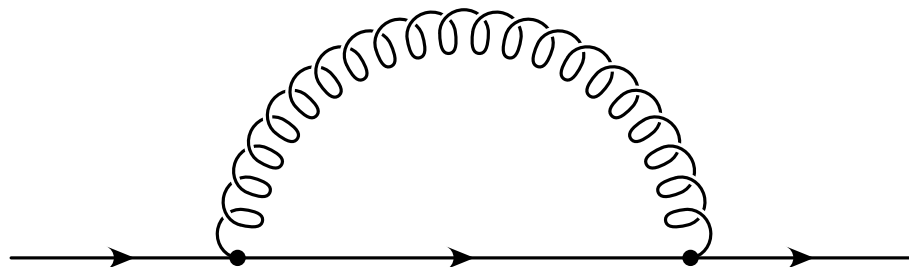
- If we use $\overline{\text{MS}}$ for the IR divergences of QCD the c_n can be read off the QCD calculation directly (!)

Matching



E.g. Calculation of $Z_h(m_Q, \mu)$

- We only need the QCD calculation of ($D = 4 - \epsilon$, $C_f = (N_c^2 - 1)/2N_c$)



$$-i\Sigma(p) = -iC_f \frac{\alpha_s}{4\pi} (A(p^2) m + B(p^2) \not{p})$$

$$A(p^2) = \int_0^1 dx \Gamma(\epsilon/2) (4 - \epsilon) [m^2 x - p^2 x(1 - x)]^{-\epsilon/2}$$

$$B(p^2) = - \int_0^1 dx \Gamma(\epsilon/2) (2 - \epsilon) (1 - x) [m^2 x - p^2 x(1 - x)]^{-\epsilon/2}$$



Matching

E.g. Calculation of $Z_h(m_Q/\mu)$ (Cont.)

• $Z_h = 1 + \delta Z_h$

$$\begin{aligned}\delta Z_h^{\text{bare}} &= -C_f \frac{\alpha_s}{4\pi} \left[B(m^2) + 2m^2 \left(\frac{\partial A}{\partial p^2} + \frac{\partial B}{\partial p^2} \right)_{p^2=m^2} \right] \\ &= C_f \frac{\alpha_s}{\pi} \left[\frac{3}{2\hat{\epsilon}} + 1 - \frac{3}{2} \log \frac{m}{\mu} \right]\end{aligned}$$

$$2/\hat{\epsilon} = 2/\epsilon - \gamma_E + \ln 4\pi, \quad \left(\frac{3}{2\hat{\epsilon}} = \frac{1}{2\epsilon_{UV}} + \frac{1}{\epsilon_{IR}} \right)$$

•

$$\delta Z_h(m_Q/\mu) = C_f \frac{\alpha_s}{\pi} \left[1 - \frac{3}{2} \log \frac{m_Q}{\mu} \right]$$

Matching

The c_n in the lagrangian are most easily obtained by matching the on-shell scattering off a background field in the background field gauge in QCD and HQET

$$\langle \mathbf{p} | \mathbf{p}' \rangle |_{QCD} = Z_h \frac{E(\mathbf{p}) E(\mathbf{p}')}{m^2} \langle \mathbf{p} | \mathbf{p}' \rangle |_{HQET}$$

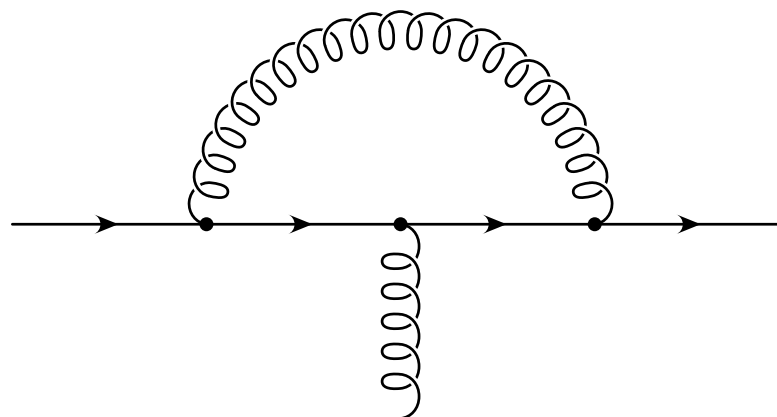
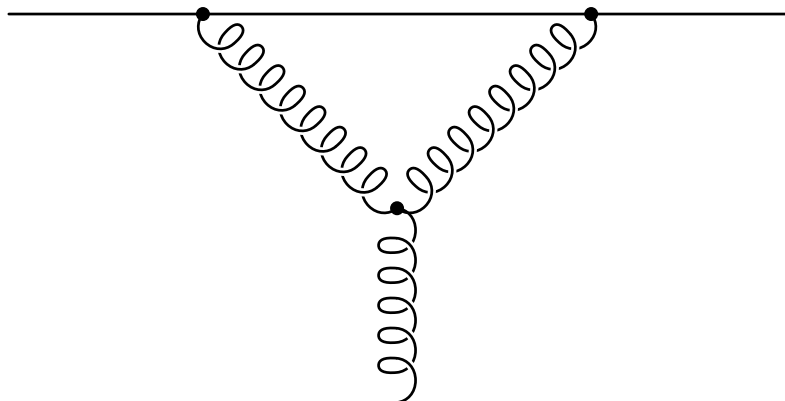
$$E(\mathbf{p}) = \sqrt{m^2 + \mathbf{p}^2}$$

- Z_h is matching coefficient of the heavy quark field (calculated before at one loop)
- The $E(\mathbf{p})/m$ factors correct for the different normalization of states in QCD and HQET (non-relativistic)

Matching



- QCD diagrams needed for the one-loop matching coefficients of HQET power corrections



Matching

$$\begin{aligned}c_2 &= c_4 = 1 \\c_F &= 1 + \frac{\alpha_s}{\pi} \left[\frac{1}{2} C_f + \left(\frac{1}{2} - \frac{1}{2} \log \frac{m}{\mu} \right) C_A \right] \\c_D &= 1 + \frac{\alpha_s}{\pi} \left[\left(\frac{8}{3} \log \frac{m}{\mu} \right) C_f + \left(\frac{1}{2} + \frac{2}{3} \log \frac{m}{\mu} \right) C_A \right] \\c_S &= 1 + \frac{\alpha_s}{\pi} \left[C_f + \left(1 - \log \frac{m}{\mu} \right) C_A \right] , \quad C_A = N_c\end{aligned}$$

$$\left[\begin{aligned}\mathcal{L} &= \bar{h}_v \left\{ iD^0 + c_2 \frac{\mathbf{D}^2}{2m} + c_4 \frac{\mathbf{D}^4}{8m^3} + c_F g \frac{\boldsymbol{\sigma} \cdot \mathbf{B}}{2m} + c_D g \frac{[\mathbf{D} \cdot \mathbf{E}]}{8m^2} \right. \\&\left. + ic_S g \frac{\boldsymbol{\sigma} \cdot (\mathbf{D} \times \mathbf{E} - \mathbf{E} \times \mathbf{D})}{8m^2} + \mathcal{O}(1/m^3) \right\} h_v\end{aligned} \right]$$

Lorentz Symmetry

A practical way to implement it is the so called
Reparameterization Invariance (Luke, Manohar, 1992)

- The splitting $p = mv + k$ is not unique
- $p = mv + k = mv' + k'$, $v' = v + \delta v$, $m\delta v \ll m$, $k' = k - m\delta v$
- The HQET lagrangian must be the same for v and for v'
- The relation between the heavy quark fields reads

$$h_{v'}(x) = e^{im\delta v} \Lambda(v', \frac{p}{m}) \Lambda^{-1}(v, \frac{p}{m}) h_v(x)$$

$\Lambda(v, v')$ is the Lorentz transformation that takes v' into v

$$\Lambda(v, v') = \frac{1 + \not{v}\not{v}'}{\sqrt{2(1 + v \cdot v')}}$$

Lorentz Symmetry

Imposing invariance ($p/m \rightarrow (v + iD/m)/|v + iD/m|$) and using local field redefinitions to bring the HQET lagrangian back to its standard form one gets:

$$c_2 = c_4 = 1 \quad , \quad c_s = 2c_F - 1$$

- It is possible to write the HQET lagrangian in terms of manifestly reparameterization invariant operators
- Local field redefinitions have to be used to bring it to the standard form

Renormalization Group

- The $c_n(\mu)$ contain $\ln(m/\mu)$, which for $\Lambda_{\text{QCD}} \lesssim \mu \ll m$ may be large
- Use the fact that physical observables cannot depend on μ to write down RG equations
- The solution of the RG equations sums up terms of the kind $\alpha_s^k(m) \ln^k(m/\mu)$
- The cancellation of μ must occur at each order in $1/m$ in the amplitudes \implies the RG equations have a triangular form

$$\mu \frac{d}{d\mu} c_n(\mu) = \gamma_n(\alpha_s(\mu), c_k(\mu)) \quad , \quad k \leq n$$

Renormalization Group

- E.g. $n = 1$, we only have c_F

$$\gamma_F = \gamma_F(\alpha_s(\mu), c_F(\mu)) = \tilde{\gamma}_F(\alpha_s(\mu))c_F(\mu)$$

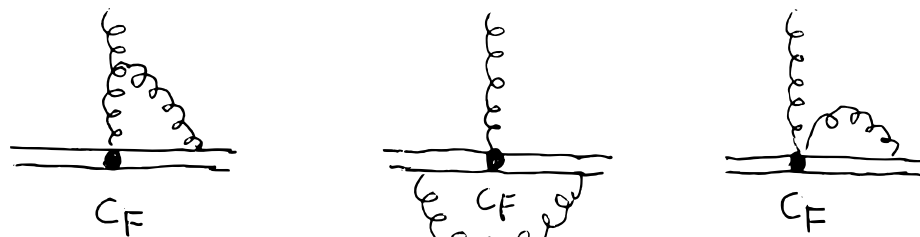
- The last equality is due to spin symmetry (no mixing with the kinetic term)
- Exercise 2: calculate $\tilde{\gamma}_F(\alpha_s(\mu))$ at one loop
- E.g. $n = 2$, we only have $c_D(\mu)$ (c_S is given in terms of c_F)

$$\begin{aligned}\gamma_D &= \gamma_D(\alpha_s(\mu), c_F(\mu), c_D(\mu)) \\ &= \tilde{\gamma}_{D2}(\alpha_s(\mu))c_2^2 + \tilde{\gamma}_{DF}(\alpha_s(\mu))c_F^2(\mu) + \tilde{\gamma}_D(\alpha_s(\mu))c_D(\mu)\end{aligned}$$

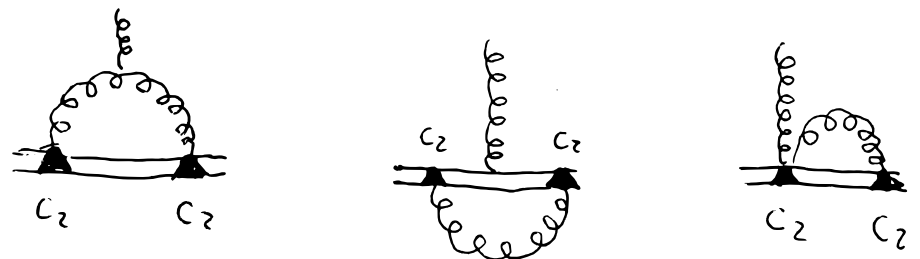
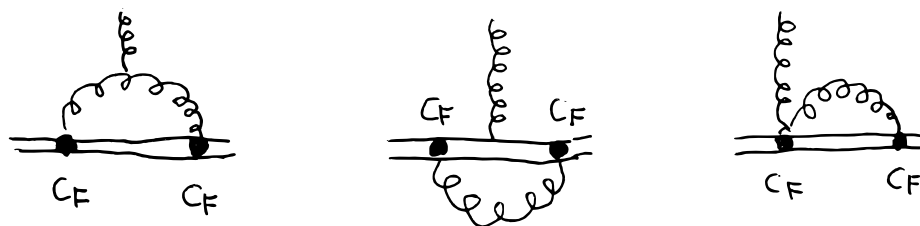
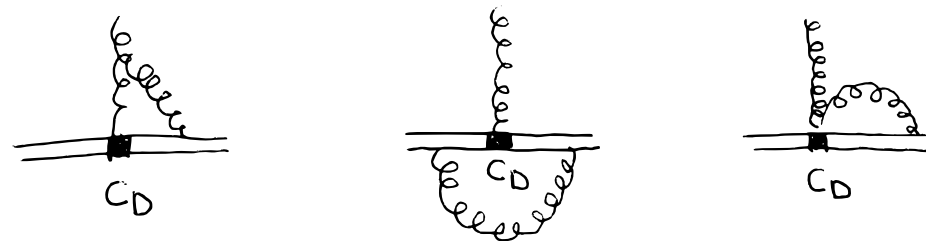
- Spin symmetry forbids terms linear in $c_F(\mu)$ and $c_S(\mu)$

Renormalization Group

$$M \frac{dC_F}{dM} :$$



$$M \frac{dC_D}{dM} :$$



Renormalization Group



- $\mu \frac{dc_F}{d\mu}$:

$$\tilde{\gamma}_F = \frac{C_A \alpha_s}{2\pi} \quad (C_A = N_c) \quad \text{Bauer, Manohar, 97}$$

- $\mu \frac{dc_D}{d\mu}$:

$$\tilde{\gamma}_D = \frac{13C_A \alpha_s}{12\pi}, \quad \tilde{\gamma}_{DF} = -\frac{C_A \alpha_s}{12\pi}, \quad \tilde{\gamma}_{D2} = -\frac{\alpha_s}{13\pi} (5C_A + 8C_f)$$

- No contributions from heavy-light operators and pure gluonic operators



Renormalization Group



The solution of the RG equations at one loop reads

$$c_F(\mu) = z^{-C_A}$$

$$c_D(\mu) = z^{-2C_A} + \left(\frac{20}{13} + \frac{32 C_F}{13 C_A} \right) \left[1 - z^{-13C_A/6} \right]$$

$$z = \left[\frac{\alpha_s(\mu)}{\alpha_s(m)} \right]^{1/b_0}, \quad b_0 = 11C_A/3 - 4T_F n_f/3$$

• Application:

$$\frac{m_{B^*} - m_B}{m_{D^*} - m_D} = \left[\frac{\alpha_s(m_D)}{\alpha_s(m_B)} \right]^{-C_A/b_0} \frac{m_D}{m_B} + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m_Q}, \alpha_s(m_Q)\right)$$



Renormalization Group

- Does it help?

$$\frac{M_{B^*}^2 - M_B^2}{M_{D^*}^2 - M_D^2} \stackrel{\text{Exp}}{=} 0.88$$

- Tree level (LO)

$$\frac{M_{B^*}^2 - M_B^2}{M_{D^*}^2 - M_D^2} \stackrel{\text{LO}}{\simeq} 1$$

- One loop matching (NLO)

$$\frac{M_{B^*}^2 - M_B^2}{M_{D^*}^2 - M_D^2} \stackrel{\text{NLO}}{\simeq} 0.74$$

- RG improved (LL)

$$\frac{M_{B^*}^2 - M_B^2}{M_{D^*}^2 - M_D^2} \stackrel{\text{LL}}{\simeq} 0.82$$

Renormalization Group



Remarks:

- The anomalous dimensions in DR can be obtained from the $1/\epsilon$ UV poles
- The integrals must be IR finite \longrightarrow off-shell Green functions
- The following trick helps calculating Feynman integrals involving heavy quark propagators:

$$\int_0^\infty d\lambda \frac{1}{(a\lambda + b)^2} = \frac{1}{ab}$$

$a \longrightarrow$ denominator of a heavy quark propagator

$b \longrightarrow$ denominator of a gluon or light quark propagator



Heavy-to-Light currents

- Leading result (tree level, no power correction):

$$J_\Gamma = \bar{q}\Gamma Q \rightarrow \bar{q}\Gamma p_+ h_\nu e^{-im\nu x}$$

- Power correction (tree level):

$$J_\Gamma \rightarrow \bar{q}\Gamma e^{-im\nu x} \left(1 + \frac{1}{iv \cdot D + 2m_Q} p_- i \not{D} \right) p_+ h_\nu$$

expanded in $1/m_Q$

- Radiative correction (one loop, no power correction, $m_q = 0$),

$$\Gamma = \gamma^\mu, \quad (\gamma^5 \gamma^\mu \text{ analogous})$$

$$J_\Gamma \rightarrow (C_1(\mu) J_1 + C_2(\mu) J_2) e^{-im\nu x}, \quad J_1 = \bar{q} \gamma^\mu h_\nu, \quad J_2 = \bar{q} v^\mu h_\nu$$

Heavy-to-Light currents

- Matching on-shell matrix elements, expanding on $k/m, k'/m$ and using DR $\overline{\text{MS}}$ for both UV and IR divergences the $C_i(\mu)$ can be directly read off the QCD calculation:

$$C_1(\mu) = 1 + \frac{3C_f\alpha_s}{4\pi} \left(\ln \frac{m}{\mu} - \frac{4}{3} \right) , \quad C_2(\mu) = \frac{C_f\alpha_s}{2\pi}$$

- RG improvement (one loop, no power correction):

$$\mu \frac{d}{d\mu} C_1(\mu) = \gamma_1(\alpha_s(\mu), C_1(\mu)) = \tilde{\gamma}_1(\alpha_s(\mu)) C_1(\mu)$$

- In this case the anomalous dimension can be read off the matching coefficient

$$\tilde{\gamma}_1 = -\frac{3C_f\alpha_s}{4\pi}$$

Heavy-to-Light currents

- At leading-log (LL) we have

$$C_1(\mu) = \left[\frac{\alpha_s(\mu)}{\alpha_s(m)} \right]^{\frac{3C_f}{2b_0}}, \quad C_2(\mu) = 0$$

- Radiative+Power corrections

- At a given order in $1/m$, write down all possible currents compatible with the quantum numbers of the QCD current
- Take into account RI constraints
- The matching calculation still reduces to a QCD calculation expanded in powers of $k/m, k'/m$
- For the RG equations, take into account $1/m$ contributions both from the current and from the lagrangian

Heavy-to-Heavy currents

- Leading result (tree level, no power correction):

$$J_\Gamma = \bar{Q}'\Gamma Q \rightarrow \bar{h}_{v'}p'_+\Gamma p_+h_v e^{-imvx+im'v'x}$$

- Power corrections (tree level):

$$J_\Gamma \rightarrow \bar{h}_{v'}p'_+ \left(1 + i\not{D}p'_- \frac{1}{iv'.D + 2m'} \right) \Gamma e^{-imvx+im'v'x} \\ \left(1 + \frac{1}{iv.D + 2m} p_- i\not{D} \right) p_+ h_v$$

expanded in $1/m_Q$

- Radiative corrections. Let us consider two cases ($m = m_b$, $m' = m_c$): $m \sim m'$ or $m \gg m'$

Heavy-to-Heavy currents

- $m \sim m'$

$$\sum_{Q=c,b} \mathcal{L}_Q \longrightarrow \sum_{Q=c,b} \mathcal{L}_{HQET}^{(Q)}$$

- Same matching procedure, but integrals in QCD will depend on two scales m and m' (more difficult)

- $m \gg m'$. A two step matching is convenient:

$$\sum_{Q=c,b} \mathcal{L}_Q \longrightarrow \mathcal{L}_c + \mathcal{L}_{HQET}^{(b)} \longrightarrow \sum_{Q=c,b} \mathcal{L}_{HQET}^{(Q)}$$

- In the first step m' is expanded as well as k and k' , only one-scale integral \sim heavy-to-light case (simpler)
- In the second step only k and k' are expanded \sim calculation in HQET with a massive “light” quark

Heavy-to-Heavy currents

- First step:

$$\bar{c}\gamma^\mu b \longrightarrow (C_1(m_b, \mu)J_1 + C_2(m_b, \mu)J_2) e^{-im_b v x}$$

$$J_1 = \bar{c}\gamma^\mu h_v^{(b)} \quad , \quad J_2 = \bar{c}v^\mu h_v^{(b)}$$

- At LO in m_c/m_b the C_i are the same as for the heavy-to-light case

- Second step:

$$J_i \longrightarrow e^{im_c v' x} \sum_{j=1}^3 C_{ij}(m_c, \mu, v \cdot v') J'_j$$

$$J'_j = \bar{h}_{v'}^{(c)} \Gamma_j h_v^{(b)} \quad , \quad \Gamma_1 = \gamma^\mu \quad , \quad \Gamma_2 = v^\mu \quad , \quad \Gamma_3 = v'^\mu$$

Heavy-to-Heavy currents



LL calculation:

- Tree level matching in each step (trivial)
- RG evolution in each step
 - First step, identical to the heavy-to-light case ($m_c \neq 0$ does not modify the UV behavior)
 - Second step,

$$\mu \frac{d}{d\mu} C_{11}(\mu) = \frac{\gamma^{hh}(w) \alpha_s(\mu)}{2\pi} C_{11}(\mu) \quad , \quad w = v \cdot v'$$

$$\gamma^{hh}(w) = 4C_f (wr(w) - 1) \quad , \quad r(w) = \frac{1}{\sqrt{w^2 - 1}} \ln \left(w + \sqrt{w^2 - 1} \right)$$

- Velocity dependent anomalous dimension (!)



Heavy-to-Heavy currents

LL calculation (Cont.):

$$\bar{c}\gamma^\mu b \longrightarrow e^{im_c v' x} e^{-im_b v x} \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{-\frac{3C_F}{2b_0[n_f=4]}} \left[\frac{\alpha_s(m_c)}{\alpha_s(\mu)} \right]^{\frac{\gamma^{hh}(v,v')}{2b_0[n_f=3]}} \bar{h}_{v'}^{(c)} \gamma^\mu h_v^{(b)}$$

Decay constants

$|H(p)\rangle$, heavy pseudoscalar state in QCD

$$\langle 0 | j_5^\mu(0) | H(p) \rangle = f_H p^\mu \quad , \quad j_5(x) = \bar{q} \gamma^\mu \gamma^5 Q(x)$$

Consider

$$\lim_{p^2 \rightarrow m_H^2} \int d^4x e^{-ipx} \langle 0 | T \{ j_5^{\mu\dagger}(x), j_5^\nu(0) \} | 0 \rangle \sim \frac{f_H^2 p^\mu p^\nu}{p^2 - m_H^2}$$

This equals in tree level HQET ($p = mv + k$)

$$\lim_{v \cdot k \rightarrow \bar{\Lambda}} \int d^4x e^{-ikx} \langle 0 | T \{ j_1^{5\mu\dagger}(x), j_1^{5\nu}(0) \} | 0 \rangle \sim \frac{\Lambda^3 v^\mu v^\nu}{v \cdot k - \bar{\Lambda}}$$

$$m_H = m + \bar{\Lambda}, \quad \Lambda, \bar{\Lambda} \sim \Lambda_{\text{QCD}}, \quad \text{one obtains } f_H \sim 1/\sqrt{m_H}$$

Decay constants



At LL we have

$$\frac{f_B}{f_D} = \left[\frac{\alpha_s(m_b)}{\alpha_s(m_c)} \right]^{-\frac{3C_F}{2b_0[n_f=4]}} \sqrt{\frac{m_D}{m_B}}$$

• How well does it work?

$$0.90 \underset{\text{Lattice}}{\simeq} \frac{f_B}{f_D} \underset{\text{LO}}{\simeq} 0.59 \underset{\text{NLO}}{\simeq} 0.69 \underset{\text{LL}}{\simeq} 0.67$$



Matrix Elements

- m scaling of QCD hadronic states ($|H(p)\rangle = K|\mathcal{H}(v)\rangle$, $|\mathcal{H}(v)\rangle$, mass independent)

$$f_H m_H v^\mu = \langle 0 | j_5^\mu(0) | H(p) \rangle = K \langle 0 | j_1^{5\mu}(0) | \mathcal{H}(v) \rangle$$

Hence, $K \sim \sqrt{m_H}$

- Covariant representation
 - Heavy-to-light currents ($|X(p')\rangle$ light degrees of freedom, $p' \ll m$)

$$\langle X(p') | \bar{q} \Gamma Q(0) | H(p) \rangle \sim \sqrt{m_H} \langle X(p') | \bar{q} \Gamma h_v(0) | \mathcal{H}(v) \rangle$$

Matrix Elements

$$\langle X(p') | \bar{q} \Gamma h_v(0) | \mathcal{H}(v) \rangle = \text{tr} \{ A(q', v) \Gamma \mathcal{M}(v) \}$$

- A , Dirac matrix consistent with the symmetries of $X(p')$
- $\mathcal{M}(v)$ must be consistent with the symmetries of HQET
E.g. For lowest spin multiplet (pseudoscalar, vector)

$$\mathcal{M}(v) = p_+ \begin{pmatrix} -\gamma^5 \\ \not{\epsilon} \end{pmatrix}, \quad p_+ = \frac{1 + \not{v}}{2}$$

$\epsilon \cdot v = 0$, $\mathcal{M}(v) p_+ = 0$, e.g. for $v = (1, 0, 0, 0)$,

$$\epsilon_{\pm}^{\mu} = \frac{1}{\sqrt{2}} (0, 1, \pm i, 0), \quad \epsilon_3^{\mu} = (0, 0, 0, 1)$$

- E.g. Vector meson decay constant ($X(p') = 0$, $\Gamma = \gamma^{\mu}$)

$\Rightarrow A = 1$

Matrix Elements

$$f_{H^*} \epsilon^\mu = \langle 0 | \bar{q} \gamma^\mu Q(0) | H^*(p) \rangle \sim \sqrt{m_H} \langle 0 | \bar{q} \gamma^\mu h_\nu(0) | \mathcal{H}^*(v) \rangle$$

$$\langle 0 | \bar{q} \gamma^\mu h_\nu(0) | \mathcal{H}^*(v) \rangle = \text{tr} \{ 1 \cdot \gamma^\mu p_+ \not{v} \} = 2 \epsilon^\mu$$

Hence $f_{H^*} \sim \sqrt{m_H}$, and repeating the above for the pseudoscalar one obtains

$$f_{H^*} = -f_H m_H$$

E.g. $X(p') = \pi(p')$, $\Gamma = \gamma^\mu (1 - \gamma^5)$

$$\longrightarrow A(p') = A_1(p' \cdot v) \gamma^5 + A_2(p' \cdot v) \gamma^5 \not{v}$$

- The same two form factors describe the decay of B and D to $\pi l \nu$ when the pion is soft (!)
- In QCD there are two independent form factors for each decay

Matrix Elements

• Covariant representation (Cont.)

- Heavy-to-heavy currents ($X(p') = H'(p')$, $p' = mv'$)

$$\langle H'(p') | \bar{Q}' \Gamma Q(0) | H(p) \rangle \sim \sqrt{m_H m_{H'}} \langle \mathcal{H}(v') | \bar{h}_{v'} \Gamma h_v(0) | \mathcal{H}(v) \rangle$$

$$\langle \mathcal{H}(v') | \bar{h}_{v'} \Gamma h_v(0) | \mathcal{H}(v) \rangle = \text{tr} \{ A(v', v) \bar{\mathcal{M}}(v') \Gamma \mathcal{M}(v) \}$$

$A(v', v)$, Dirac matrix that transforms like a scalar \implies

$$A(v', v) = A_0(v' \cdot v) \cdot 1 + A_1(v' \cdot v) \not{v} + A_2(v' \cdot v) \not{v}' + A_3(v' \cdot v) \not{v} \not{v}'$$

but it is sandwiched

$$\begin{aligned} p_- A(v', v) p'_- &= p_- (A_0(v' \cdot v) - A_1(v' \cdot v) - A_2(v' \cdot v) + A_3(v' \cdot v)) p'_- \\ &\equiv -\xi(v \cdot v') p_- p'_- \end{aligned}$$

Matrix Elements



- Heavy-to-heavy semi-leptonic decays depend on a single form factor, $\xi(\omega)$, $\omega = v \cdot v'$, the Isgur-Wise function (!)
- B to D , D^* decays depend on 6 form factors in QCD

$$\langle D(v') | \bar{c} \gamma^\mu b | B(v) \rangle = h_+(\omega)(v + v')^\mu + h_-(\omega)(v - v')^\mu$$

$$\langle D^*(v') | \bar{c} \gamma^\mu b | B(v) \rangle = i h_v(\omega) \epsilon^{\mu\nu\alpha\beta} \epsilon_\nu^* v'_\alpha v_\beta$$

$$\langle D^*(v') | \bar{c} \gamma^\mu \gamma^5 b | B(v) \rangle = h_{A_1}(\omega) \epsilon^{*\mu} - [h_{A_2}(\omega) v^\mu + h_{A_3}(\omega) v'^\mu] \epsilon^* \cdot v$$

- At leading order in $1/m$ we have

$$h_+(\omega) = h_v(\omega) = h_{A_1}(\omega) = h_{A_3}(\omega) = \xi(\omega) \quad , \quad h_-(\omega) = h_{A_2}(\omega) = 0$$

- Note that the LL corrections have been displayed before
- Power and radiative corrections bring into the game more HQET operators, which can be dealt with analogously.



Matrix Elements

- Note that current conservation implies (V =space volume)

$$\langle B(v) | \int d^3 \mathbf{x} \bar{h}_v^{(b)} \gamma^0 h_v^{(b)}(x) | B(v) \rangle = \langle B(v) | B(v) \rangle = 2v^0 (2\pi)^3 \delta(m\mathbf{v} = 0) = 2v^0$$
$$\parallel$$
$$V \langle B(v) | \bar{h}_v^{(b)} \gamma^0 h_v^{(b)}(0) | B(v) \rangle = V \xi(1) 2v^0 \implies \xi(1) = 1$$

- Measuring $B \rightarrow D l \bar{\nu}$ at zero recoil ($v = v'$) has no hadronic uncertainties up to $1/m$
- Luke's theorem (Luke, 90): no hadronic uncertainties at zero recoil up to $1/m^2$
- Very useful for a precise determination of V_{cb}

Bibliography (Reviews)

- Grinstein, 91
- Neubert, 93
- Mannel, 96